

1 **Progressive weakening within the overriding plate during dual inward dipping subduction**

2 Zhibin Lei¹, J. H. Davies¹

3 ¹School of Earth and Environmental Sciences, Cardiff University, Cardiff, CF10 3AT, UK

4 *Correspondence to:* Zhibin Lei (leiz2@cardiff.ac.uk)

5 **Abstract**

6 Dual inward dipping subduction often produces complex deformation patterns in the overriding
7 plate. However, the geodynamic process of how dual inward dipping subduction relates to this
8 deformation remains unclear, as previous investigation all applied a compositional or Newtonian
9 rheology. Here we apply a composite viscosity, dependent on multiple parameters, e.g.,
10 temperature, pressure, strain rate etc., in 2-D thermo-mechanical numerical modelling to
11 investigate how dual inward dipping subduction modifies the rheological structure of the overriding
12 plate. Three variables are investigated to understand what controls the maximum degree of
13 weakening in the overriding plate. We find that reducing the initial length or thickness of the
14 overriding plate and increasing the initial thickness of the subducting plate can enhance the
15 viscosity reduction within the overriding plate during subduction. The progressive weakening can
16 result in a variety of stretching states ranging from 1) little or no lithosphere thinning and extension,
17 to 2) limited thermal lithosphere thinning, and 3) localised rifting followed by spreading extension.
18 Compared with single sided subduction, dual inward dipping subduction further reduces the
19 magnitude of viscosity of the overriding plate. It does this by creating a dynamic fixed boundary
20 condition for the overriding plate and forming a stronger upwelling mantle flow which induces
21 progressive weakening in the overriding plate. Investigation on the evolution of the dominant

22 deformation mechanisms shows that dislocation and yielding contribute most to viscosity reduction,
23 which can lead to rifting and spreading extension in the overriding plate. The progressive
24 weakening is mainly driven by the ever-increasing strain rate, which is also a precondition for
25 initiating thermal weakening, strain localisation, lithosphere thinning and formation of new plate
26 boundaries.

27 **Keywords:** dual inward dipping subduction; composite viscosity; strain localisation; feedback
28 weakening; numerical modelling.

29 **Highlights:**

30 1. Investigate dual inward dipping subduction models implementing composite rheology

31 2. Self-consistently forms a fixed boundary condition and strong convective mantle flow

32 3. Yielding and dislocation creep are the dominant extensional deformation mechanisms

33 4. Strain rate-induced weakening plays a dominant role in initiating viscosity reduction

34 1. Introduction

35 Subduction can pose a fundamental tectonic overprint on the overriding plate by generating a
36 volcanic arc (Perfit et al., 1980; Straub et al., 2020), back-arc basin (Uyeda, 1981), orogeny
37 (Faccenna et al., 2021), or even continental breakup (Dal Zilio et al., 2018). Most subduction zones
38 involve only one subducting slab. Here we consider multiple subducting slabs, in particular dual
39 inward dipping subduction. Dual inward dipping subduction, or bi-vergent subduction occurs when
40 the overriding plate is decoupled with two subducting slabs dipping towards each other. It is one of
41 the four most commonly described subduction zones with multiple slabs, i.e., inward-dipping, same-
42 dip, outward-dipping and oppositely dipping adjacent subduction zones (Holt et al., 2017; Király et
43 al., 2021).

44 Dual inward dipping subduction zones are found in areas which exhibit complex geodynamic
45 processes in their geological history. Seismic tomography shows that dual inward dipping
46 subduction exists at the Caribbean plate between the Cocos slab and Lesser-Antilles subduction
47 zone (Van Benthem et al., 2013), and in South-East Asia between the Philippine and the Sumatra
48 subduction (Hall and Spakman, 2015; Huang et al., 2015; Maruyama et al., 2007). In combination
49 with seismic tomography, recent plate reconstructions have made it more evident that dual inward
50 dipping subduction could have existed in some regions in the past (Faccenna et al., 2010; Hall and
51 Spakman, 2015) constrained by suture zone petrology demonstrating the existence of paleo-
52 subduction. A good example is the North China Craton. Suture zone studies reveal that multiple
53 inward dipping subduction may have surrounded the North China Craton from Early Paleozoic to
54 Tertiary (Santosh, 2010; Windley et al., 2010).

55 Global strain rate map (Kreemer et al., 2014) shows that high strain rate regions in the overriding
56 plate is often wider and spatially more complex in multiple slab subduction zones, including the
57 dual inward dipping subduction cases. Besides, crustal scale seismic surveys in the Caribbean Sea
58 has found a good amount of extensional basins spreading widely across the overriding plate since
59 the establishment of dual inward dipping subduction at ~60 Ma (e.g. Boschman et al., 2014;
60 Braszus et al., 2021). Despite these observations, the role dual inward dipping subduction may
61 play to form the high strain rate region, or sedimentary basins remains unclear.

62 Numerical investigations have been conducted to understand the dynamics of dual inward dipping
63 subduction. Research shows that the initial slab dip of the subducting plate affects the upper mantle
64 dynamic pressure between the convergent slabs and stress state within the overriding plate (Holt
65 et al., 2017). Varying the distance between the trenches, convergence rate, and asymmetry of
66 subducting plates can alter the topography of the overriding plate (Dasgupta and Mandal, 2018).
67 The thickness of the plates and the lithosphere to asthenosphere viscosity ratio are all tested to
68 investigate their effect on the slab geometry and the magnitude of mantle upwelling flow underlying
69 the overriding plate (Lyu et al., 2019).

70 These pioneering investigations show that dual inward dipping subduction can generate a variety
71 of upper mantle flow patterns which regulate the stress state and topography of the overriding plate.
72 However, previous models all applied a simplified constant viscosity or Newtonian rheology for both
73 plates and convective mantle flow, i.e., the viscosity is neither temperature nor stress-dependent.
74 Mineral deformation experiments indicate that viscosity varies as a function of multiple parameters,
75 e.g., temperature, pressure, stress, strain rate etc. (Bürgmann and Dresen, 2008; Burov, 2011;

76 Hirth and Kohlstedt, 2003; Karato, 2010; Lynch and Morgan, 1987). Thus, previous dual inward
77 dipping subduction models with simplified rheology were unable to fully reflect the weakening
78 process, e.g., high strain rate in the back-arc region, due to slab rollback and induced mantle wedge
79 flow.

80 Single sided subduction models incorporating composite rheology, e.g., dislocation creep, diffusion
81 creep, yielding etc., has improved our understanding of subduction's impact upon the overriding
82 plate (e.g., Alsaif et al., 2020; Čížková and Bina, 2013; Garel et al., 2014; Schliffke et al., 2022;
83 Suchoy et al., 2021). It has not been investigated before, to the best of our knowledge, in terms of
84 which rheology law dominates the weakening process observed in the overriding plate nor how
85 different deformation mechanisms interplay with each other during subduction.

86 In this research, a series of 2-D thermo-mechanical models incorporating composite rheology laws
87 are run to investigate how dual inward dipping subduction differs from single sided subduction in
88 deforming the overriding plate. We also identify the dominant deformation mechanism that induces
89 progressive weakening and investigate the interplay among different deformation mechanisms
90 applied.

91 **2. Methods**

92 We ran the thermally-driven dual inward dipping subduction models using the code Fluidity (Davies
93 et al., 2011; Kramer et al., 2012), a finite-element control-volume computational modelling
94 framework, with an adaptive mesh that is set up to capture evolving changes of velocity,
95 temperature, viscosity etc., with a maximum resolution of 0.4 km in this research.

96 2.1 Governing equations and rheology setup

97 Under the Boussinesq approximation (McKenzie et al., 1974), the equations governing thermally
98 driven subduction process are derived from conservation of mass, momentum, and energy, for an
99 incompressible Stokes flow

$$\partial_i u_i = 0, \quad (1)$$

$$\partial_i \sigma_{ij} = -\Delta \rho g_j, \quad (2)$$

$$\frac{\partial T}{\partial t} + u_i \partial_i T = \kappa \partial_i^2 T, \quad (3)$$

100 in which u , g , σ , T , κ are the velocity, gravity, stress, temperature, and thermal diffusivity,
101 respectively (Table 1). In particular, the full stress tensor σ_{ij} consists of deviatoric and lithostatic
102 components via

$$\sigma_{ij} = \tau_{ij} - p \delta_{ij}, \quad (4)$$

103 where τ_{ij} represents the deviatoric stress tensor, p the dynamic pressure, and δ_{ij} the Kronecker
104 delta function.

105 The deviatoric stress tensor and strain rate tensor $\dot{\epsilon}_{ij}$ are related according to

$$\tau_{ij} = 2\mu \dot{\epsilon}_{ij} = \mu (\partial_j u_i + \partial_i u_j), \quad (5)$$

106 with μ the viscosity. The density difference due to temperature is defined as

$$\Delta\rho = -\alpha\rho_s(T - T_s), \tag{6}$$

107 where α is the coefficient of thermal expansion, ρ_s is the reference density at the surface
108 temperature T_s (Table 1).

Table 1. Key parameters used in this research.

| Quantity | Symbol | Units | Value |
|--|----------------|-----------------------|--|
| Gravity | g | $m\ s^{-2}$ | 9.8 |
| Gas constant | R | $J\ K^{-1}\ mol^{-1}$ | 8.3145 |
| Mantle geothermal gradient | G | $K\ km^{-1}$ | 0.5 (UM) 0.3 (LM) |
| Thermal expansivity coefficient | α | K^{-1} | 3×10^{-5} |
| Thermal diffusivity | κ | $m^2\ s^{-1}$ | 10^{-6} |
| Reference density | ρ_s | $kg\ m^{-3}$ | 3300 |
| Cold, surface temperature | T_s | K | 273 |
| Hot, mantle temperature | T_m | K | 1573 |
| Maximum viscosity | μ_{max} | $Pa \cdot s$ | 10^{25} |
| Minimum viscosity | μ_{min} | $Pa \cdot s$ | 10^{18} |
| Diffusion Creep ^a | | | |
| Activation energy | E | $kJ\ mol^{-1}$ | 300 (UM) 200 (LM) |
| Activation volume | V | $cm^3\ mol^{-1}$ | 4 (UM) 1.5 (LM) |
| Prefactor | A | $Pa^{-n}\ s^{-1}$ | 3.0×10^{-11} (UM) 6.0×10^{-17} (LM) |
| | n | | 1 |
| Dislocation Creep (UM) ^b | | | |
| Activation energy | E | $kJ\ mol^{-1}$ | 540 |
| Activation volume | V | $cm^3\ mol^{-1}$ | 12 |
| Prefactor | A | $Pa^{-n}\ s^{-1}$ | 5.0×10^{-16} |
| | n | | 3.5 |
| Peierls Creep (UM) ^c | | | |
| Activation energy | E | $kJ\ mol^{-1}$ | 540 |
| Activation volume | V | $cm^3\ mol^{-1}$ | 10 |
| Prefactor | A | $Pa^{-n}\ s^{-1}$ | 10^{-150} |
| | n | | 20 |
| Yield Strength Law ^d | | | |
| Surface yield strength | τ_0 | MPa | 2 |
| Friction coefficient | f_c | | 0.2 |
| | $f_{c,weak}$ | | 0.02 (weak layer) |
| Maximum yield strength | $\tau_{y,max}$ | MPa | 10,000 |

^a The rheology parameter of diffusion creep is guided by previous mineral deformation experiments (Hirth and Kohlstedt, 2003, 1995a; Ranalli, 1995). The UM and LM stands for “upper mantle” and “lower mantle,” respectively. ^b The activation parameters and stress-dependent exponent used for dislocation creep are in agreement with previous mineral deformation experiments (Hirth and Kohlstedt, 1995b). ^c The parameterisation (based on Kameyama et al., 1999) makes Peierls creep tend to be weaker than yielding in the upper mantle, thus enabling trench retreat and creating richer slab morphology in the upper mantle (Garel et al., 2014). ^d A very high maximum yield strength value is used here to ensure that yielding only dominates at the depth of crustal scale. A friction coefficient of 0.2 is following numerical models (Garel et al., 2014; Gülcher et al., 2020), and it is intermediate between lower values of previous subduction models (Crameri et al., 2012; Di Giuseppe et al., 2008) and the actual friction coefficient of the Byerlee law (Byerlee, 1978).

119 The key rheology difference of the model setup with previous dual inward dipping subduction
 120 models (Dasgupta and Mandal, 2018; Holt et al., 2017; Lyu et al., 2019) is that the magnitude of
 121 viscosity throughout the model can self-consistently evolve during subduction. The governing
 122 rheological laws are identical throughout the model domain, though the rheology parameters we
 123 use differ to match different deformation mechanisms potentially dominating at different depths in
 124 the Earth. In detail, a uniform composite viscosity is used to take account of four deformation
 125 mechanisms under different temperature-pressure conditions: diffusion creep, dislocation creep,
 126 Peierls mechanism, and yielding (Garel et al., 2014). The effective composite viscosity in the
 127 computational domain is given by

$$\mu = \left(\frac{1}{\mu_{diff}} + \frac{1}{\mu_{disl}} + \frac{1}{\mu_P} + \frac{1}{\mu_y} \right)^{-1}, \quad (7)$$

128 where μ_{diff} , μ_{disl} , μ_y define the creep viscosity following

$$\mu_{diff/disl/P} = A^{-\frac{1}{n}} \exp\left(\frac{E + PV}{nRT_r}\right) \dot{\epsilon}_{II}^{\frac{1-n}{n}}, \quad (8)$$

129 in which A is a prefactor, n the stress component, E the activation energy, P the lithostatic
 130 pressure, V the activation volume, R the gas constant, T_r the temperature obtained by adding
 131 an adiabatic gradient of 0.5 K/km in the upper mantle and 0.3 K/km in the lower mantle to the
 132 Boussinesq solution (Fowler, 2005), $\dot{\epsilon}_{II}$ the second invariant of the strain rate tensor. Note that in
 133 the lower mantle only diffusion creep applies and the lower mantle is 30 times more viscous than
 134 the upper mantle. While the fourth deformation mechanism, yielding, is defined by a brittle-failure
 135 type yield-stress law as

$$\mu_y = \frac{\tau_y}{2\dot{\epsilon}_{II}}, \quad (9)$$

136 with μ_y the yielding viscosity and τ_y the yield strength. τ_y is determined by

$$\tau_y = \min(\tau_0 + f_c P, \tau_{y,max}), \quad (10)$$

137 with τ_0 the surface yield strength, f_c the friction coefficient, P the lithostatic pressure, and
 138 $\tau_{y,max}$ the maximum yield strength (Table 1).

139 **2.2 Model setup**

140 The computational domain is 10,000 km by 2,900 km, with x (width) coordinates and z (depth)
 141 coordinates extending from the surface to the bottom of the lower mantle (Figure 1). Such a wide
 142 domain reduces the influence of side and bottom boundary conditions (Chertova et al., 2012). The
 143 thermal boundary conditions at the surface and bottom are defined by two isothermal values: $T =$
 144 T_s and $T = T_m$ for surface and base of lower mantle respectively, while the sidewalls are insulating.
 145 As for mechanical boundary conditions, a free-surface is applied at the top boundary to facilitate
 146 trench mobility, and create more accurate topography and lithosphere stress state, while the other
 147 boundaries are free-slip.

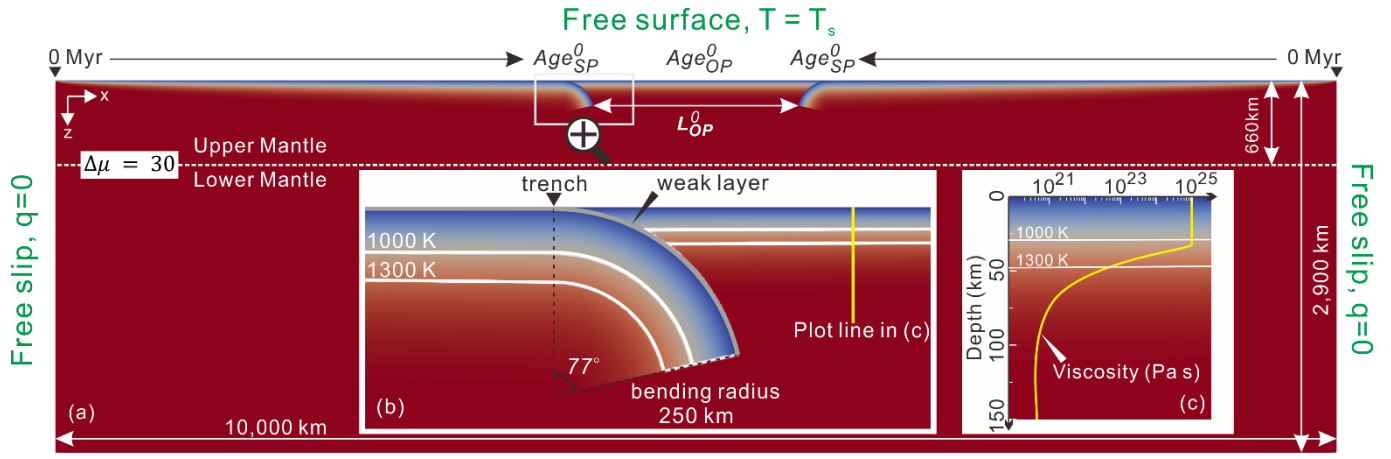


Figure 1. Dual inward dipping model geometry and initial setup illustrated with the initial temperature field as the background. (a) The whole computational domain. Age_{SP}^0 and Age_{OP}^0 represent the initial ages of subducting plate and overriding plate at trench. The viscosity jump ($\Delta\mu$) between upper and lower mantle at 660 km transition zone is set up with a fixed value of 30. To be noted, L_{OP}^0 represents the distance between the leading tip edges of the slabs initially penetrating into the upper mantle. L_{OP}^0 roughly equals the length of the overriding plate with constant thickness, excluding the overriding plate above the interface with the bending slab. (b) Enlarged area of the trench zone where the bending slab meets the flat overriding plate. The 1100 K and 1300 K isotherms are marked in white contours. (c) Vertical profile of viscosity against depth within the overriding plate. The plot line is 400 km away from the initial trench.

To simplify the complexity of the model, a laterally symmetric dual inward dipping subduction is applied, i.e., the model is strictly symmetric along the vertical middle line of the domain (5000 km away from the side boundaries) in all aspects, e.g., the geometry and rheology properties. Age_{SP}^0 and Age_{OP}^0 represent the initial ages of subducting plate and overriding plate at the trench, where the two plates meet at the surface. Laterally on the surface, the age of the subducting plates increases linearly with their distance away from the mid-ocean ridge on either side. While vertically, the age of the plate at surface defines the initial thermal structure through a half-space cooling model (Turcotte and Schubert, 2014),

$$T(x, z) = T_s + (T_m - T_s) \operatorname{erf} \left(\frac{z}{2\sqrt{\kappa Age^0(x)}} \right), \quad (11)$$

with x the distance away from the mid-ocean ridge, erf the error function, z the depth, κ the

166 thermal diffusivity. All parameters are listed in Table 1. The whole overriding plate is set up with a
 167 constant age. Thus, the thermal structure within the overriding plate is laterally homogeneous. The
 168 bottom of the thermal lithosphere is defined as the isotherm of 1300 K, where the temperature
 169 gradient starts to drop quickly (Garel and Thoraval, 2021). The initial thickness of the subducting
 170 plate (H_{SP}^0) and overriding plate (H_{OP}^0) can be calculated using

$$H_{Plate}^0 = \text{erfinv}((T_{1300K} - T_s)/(T_m - T_s)) * 2 * \sqrt{\kappa * Age_{Plate}^0(x)}, \quad (12)$$

171 where H_{Plate}^0 is the initial thickness of the plate thermal lithosphere and *erfinv* is the inverse error
 172 function.

173 The free surface boundary condition together with the mid-ocean ridge setup allows the subducting
 174 slabs, the overriding plate and therefore the trench to move freely as subduction evolves. To initiate
 175 self-driven subduction without implementing external forces, the subducting plate is set up with a
 176 bend into the mantle and an 8 km thick low-viscosity decoupling layer on the top. This weak layer
 177 has the same rheology as the rest of the domain, other than its maximum viscosity is 10^{20} Pa s,
 178 and its friction coefficient is 0.02 (i.e., an order of magnitude lower). The initial bending radius is
 179 250 km and initially the slab bends over 77 degrees from the trench (Figure 1).

180 **2.3 Model variables**

181 Three variables are investigated here: the initial length of the overriding plate (L_{OP}^0), the initial
 182 thickness of the subducting plate (H_{SP}^0) and overriding plate (H_{OP}^0) (Table 2). These are parameters
 183 also varied in previous research and therefore will allow easier comparison. H_{SP}^0 and H_{OP}^0 are

184 dependent on plate age and calculated using Equation (12). The magnitude of L_{OP}^0 that has been
185 tested in previous models ranges from 500 km to 4000 km (Dasgupta and Mandal, 2018; Holt et
186 al., 2017; Lyu et al., 2019), and the result shows that L_{OP}^0 greater than 2500 km has little impact
187 on the result (Lyu et al., 2019). Here L_{OP}^0 is tested in the range from 500 km to 1600 km. The values
188 of H_{SP}^0 and H_{OP}^0 that has been tested before ranges from 75-125 km and 75-150 km separately
189 and those models suggest that H_{SP}^0 is more important in deciding the magnitude of upwelling
190 mantle flow than H_{OP}^0 (Lyu et al., 2019). So the range of H_{SP}^0 is extended to 94-141 km (90-200
191 Ma, Table 2) while the range of H_{OP}^0 is narrowed down to 67-100 km (45-100 Ma).

192 Table 2. List of model setup.

| Model name | L_{OP}^0 (km) | H_{SP}^0 (km) | H_{OP}^0 (km) | Age_{SP}^0 (Ma) | Age_{OP}^0 (Ma) |
|-----------------------------|-----------------|-----------------|-----------------|-------------------|-------------------|
| $H_{SP}^0 = 94\text{ km}$ | 500 | 94 | 67 | 90 | 45 |
| $H_{SP}^0 = 100\text{ km}$ | 500 | 100 | 67 | 100 | 45 |
| $H_{SP}^0 = 111\text{ km}$ | 500 | 111 | 67 | 125 | 45 |
| $H_{SP}^0 = 122\text{ km}$ | 500 | 122 | 67 | 150 | 45 |
| $H_{SP}^0 = 141\text{ km}$ | 500 | 141 | 67 | 200 | 45 |
| $H_{OP}^0 = 67\text{ km}$ | 500 | 141 | 67 | 200 | 45 |
| $H_{OP}^0 = 70\text{ km}$ | 500 | 141 | 70 | 200 | 50 |
| $H_{OP}^0 = 74\text{ km}$ | 500 | 141 | 74 | 200 | 55 |
| $H_{OP}^0 = 77\text{ km}$ | 500 | 141 | 77 | 200 | 60 |
| $H_{OP}^0 = 100\text{ km}$ | 500 | 141 | 100 | 200 | 100 |
| $L_{OP}^0 = 500\text{ km}$ | 500 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 600\text{ km}$ | 600 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 700\text{ km}$ | 700 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 800\text{ km}$ | 800 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 1000\text{ km}$ | 1000 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 1200\text{ km}$ | 1200 | 141 | 67 | 200 | 45 |
| $L_{OP}^0 = 1600\text{ km}$ | 1600 | 141 | 67 | 200 | 45 |

193 Models are named with the variable tested, e.g., $H_{SP}^0 = 94\text{ km}$ and $H_{SP}^0 = 122\text{ km}$ corresponds to the initial subducting plate
194 thickness of 94 km and 122 km separately, while the initial overriding plate length and thickness in both models remain the same as
195 500 km and 67 km.

196 3. Results

197 3.1 Varying viscosity in an evolving model: an example

198 The thermal-mechanical model setup of this research enables self-consistent subduction. Similar
199 to the self-consistent single subduction numerical and analogue models, subduction initiates as
200 negative buoyancy pulls the slab to sink into the deeper mantle, followed by a second stage when
201 slab starts to interact with the lower mantle (e.g., Capitanio et al., 2010; Gerya et al., 2008; Schellart
202 and Moresi, 2013). We next describe in detail the dynamic evolution of dual inward dipping
203 subduction for the model ' $L_{OP}^0 = 1200\text{ km}$ '.

204 **3.1.1 Subduction through the upper mantle**

205 When slabs subduct through the upper mantle, symmetric subduction develops about the midline
206 of the overriding plate (~5000km away from the side boundaries). As more slab is pulled into the
207 mantle, the negative buoyancy grows gradually. It takes ~5.8 Myr before the slab starts to interact
208 with the lower mantle (Figure 2).

209 Convective mantle wedge flow is generated as the subducting slab bends and sinks in the upper
210 mantle. The size of the convective cell grows with time and forms a crescent shape as wide as
211 ~500 km before the slab reaches the depth of lower mantle. The convective cell is composed of a
212 narrow downwelling flow coupling close to the sinking slab and a wide upwelling flow further away.
213 The upwelling flow fades gradually as its distance away from the subducting slab increases. In the
214 model ' $L_{OP}^0 = 1200\text{ km}$ ', the two sets of wedge flow have little interaction and can be considered as
215 two separate units. This is because the length of the overriding plate is 1200 km, which is greater
216 than two times the width (~500 km) of a convection cell.

217 The overriding plate exhibits a widespread extensional stress field as a result of continuous

218 subduction and the induced convective mantle wedge flows. Only a limited area close to the
219 interface with the bending slabs develops compression (Figure 2, a). The widespread extensional
220 stress field implies that the overriding plate has an overall stretching tendency. Within the overriding
221 plate, the governing deformation mechanism is spatially layered (Figure 2, b). At depths shallower
222 than 30 km within the overriding plate, yielding (brittle or plastic) deformation dominates. Underlying
223 the yielding layer lies ~10 km thick Peierls creep layer. While for depths from ~40 km to the bottom
224 of the thermal lithosphere deformation is dominated by dislocation creep. High strain rate areas are
225 observed within and underneath the overriding plate (Figure 2, c). The thermal thickness of the
226 overriding plate, defined by the 1300 K isotherm contour, remains nearly constant throughout the
227 simulation.

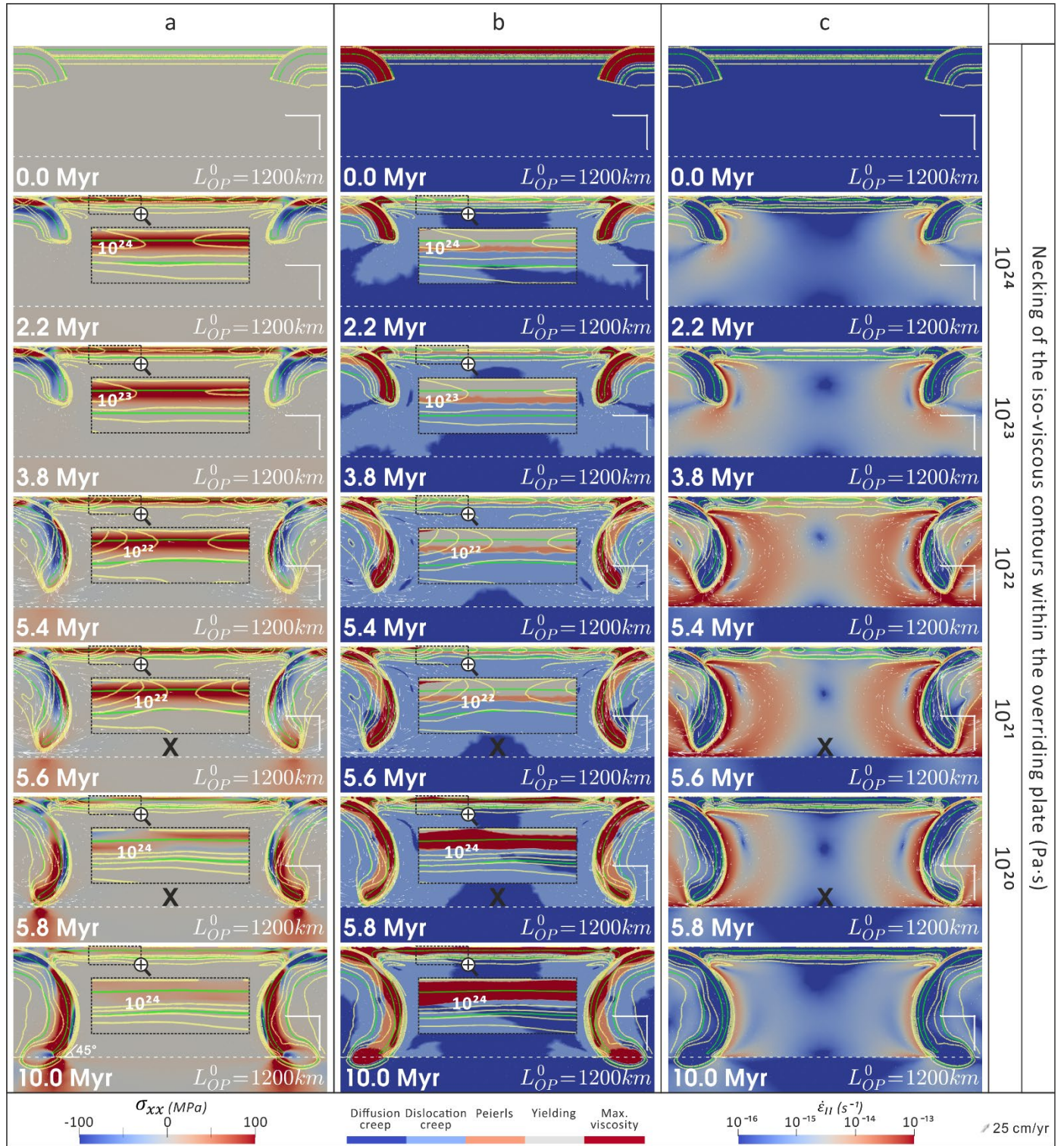


Figure 2. The Simulation screenshots of model ' $L_{OP}^0 = 1200 \text{ km}$ '. (a) Horizontal stress component where positive value represents stretching and negative value denotes compression. (b) Temporal evolution of the governing deformation mechanism, which is defined as the rheology law that yields the minimum magnitude of viscosity in a specific region. (c) Magnitude of second invariant of strain rate. The progressive weakening process within the overriding plate is demonstrated by the necking of the iso-viscous contours. The 5 groups of yellow contours encompassing the plates in each screenshot are iso-viscous contours of $10^{20}, 10^{21}, 10^{22}, 10^{23}, 10^{24} \text{ Pa} \cdot \text{s}$ from outward to inward. Screenshot with a bold 'X' underlying the overriding plate means there is no necking developing in that timestep for the iso-viscous contour whose value is noted on the right-hand side, e.g., $10^{21} \text{ Pa} \cdot \text{s}$. The two sets of green solid lines are 700 K and 1300 K isotherm contours to image the thermal geometry of the plate. The transition zone at the depth of 660 km is marked by the horizontal white dashed line. The white right-angle scale bar lying above the right end of the transition zone represents 200 km in both directions. The bottom left corner caption shows the elapsed simulation time and bottom right corner is the name of the model.

240 The non-Newtonian rheology laws applied define viscosity as a function of multiple variables, e.g.,
 241 temperature, lithostatic pressure, stress, strain rate etc. As subduction initiates, it creates rheology
 242 heterogeneities within what initially was a laterally homogeneous overriding plate, allowing part of
 243 it to become weaker than other parts. To visualize the variation in lithosphere viscosity, several
 244 levels of iso-viscous contours are plotted, e.g., $10^{24}, 10^{23}, 10^{22}, 10^{21} \text{ Pa} \cdot \text{s}$ (Figure 2). Here, the
 245 overriding plate weakening is defined as the viscosity reduction process, and the weakening level
 246 is defined as the maximum order of viscosity magnitude drop. That is, weakening level 'I', 'II', 'III',
 247 'IV' represents that the iso-viscous contour $10^{24}, 10^{23}, 10^{22}, 10^{21} \text{ Pa} \cdot \text{s}$ is necked within the
 248 overriding plate respectively. It shows that the homogeneous overriding plate is gradually
 249 segmented into three strong cores connected with two low viscosity necking regions. Strain is likely
 250 to localise upon these two necking areas and continuously lower the magnitude of viscosity therein.
 251 The minimum viscosity achieved in the overriding plate for model ' $L_{OP}^0 = 1200 \text{ km}$ ' is $10^{21}-10^{22} \text{ Pa} \cdot$
 252 s (weakening level 'III'). The distance between these two necking regions is $\sim 620 \text{ km}$ (Figure 2, a,
 253 3.8 Myr). These necking regions match well with the high strain rate areas developed in the
 254 overriding plate. The initial result suggests that high strain rate may play an important role in
 255 softening the overriding plate during dual inward dipping subduction.

256 **3.1.2 Subduction into the lower mantle**

257 Due to the viscosity jump at transition zone, subduction approaching the lower mantle would
 258 experience a short period of deceleration, where mobility of the slab tends to slow down and the
 259 induced mantle wedge flow becomes mild. Meanwhile, the necking of the iso-viscous contours is
 260 reversed by a cooling and strengthening process within the overriding plate (Figure 2). At the end

of the 10 Myr simulation, the dip between the top of bending slab and the transition zone is $\sim 45^\circ$ and the total trench retreat is ~ 100 km.

3.2 Length of the overriding plate

The first series of models investigate decreasing the initial length of the overriding plate (L_{OP}^0) from 1600 km to 500 km, while keeping the initial thickness of the subducting and overriding plate as 141 km and 67 km separately. As L_{OP}^0 decreases, the two symmetric subducting slabs become closer. The two separate convective mantle wedge flows start to combine with each other and form a stronger joint upwelling flow underneath the overriding plate (Figure 3). Consequently, as L_{OP}^0 is reduced, the two separate necking areas within the overriding plate get closer and merge into a single one in the end. Also as L_{OP}^0 is reduced it takes less time to lower each level of viscosity within the overriding plate. Besides, the progressive weakening process can go further and neck the 10^{21} Pa s iso-viscous contour (weakening level 'IV') when L_{OP}^0 reaches ≤ 800 km, initiating significant lithosphere thinning and even rifting or spreading extension within the overriding plate (Figure 3, b-c). In this paper, we define rifting as a process where the plate's thermal thickness reduces to ~ 0 km. It is noted that the rifting process in this research does not include melting behaviour. The significant extension usually lasts less than 1 Myr before it gradually stops after the slab reaches the depth of the lower mantle, but it causes substantial changes to the dual inward dipping subduction system. For example, significant slab rollback starts to develop, creating a flattening slab geometry in the upper mantle and steepening dip angle (45° to 75° , Figure 3) at the transition zone depth by the end of the 10 Myr simulation.

It is noted that the continuous thinning of the thermal lithosphere only initiates when the iso-viscous

282 contour of $10^{21} \text{ Pa} \cdot \text{s}$ starts to neck, indicating a good correlation of the thermal lithosphere and
283 the rheology boundary layer (usually defined as the depth of $10^{21} \text{ Pa} \cdot \text{s}$) in the model. All models
284 necking $10^{21} \text{ Pa} \cdot \text{s}$ will continue to neck lower magnitudes of viscosity, e.g., $10^{20}, 10^{19} \text{ Pa} \cdot \text{s}$.
285 Simultaneously, the upwelling hot mantle flow can then ascend to fill the thinning region and create
286 a new plate boundary (rifting extension) or even new sea floor (spreading extension) after it
287 ascends to the surface. Thus, the ability to neck $10^{21} \text{ Pa} \cdot \text{s}$ (or not) can be used as a key
288 diagnostic to predict whether a new spreading ridge (new plate boundary) develops within the
289 overriding plate (or just limited thinning).

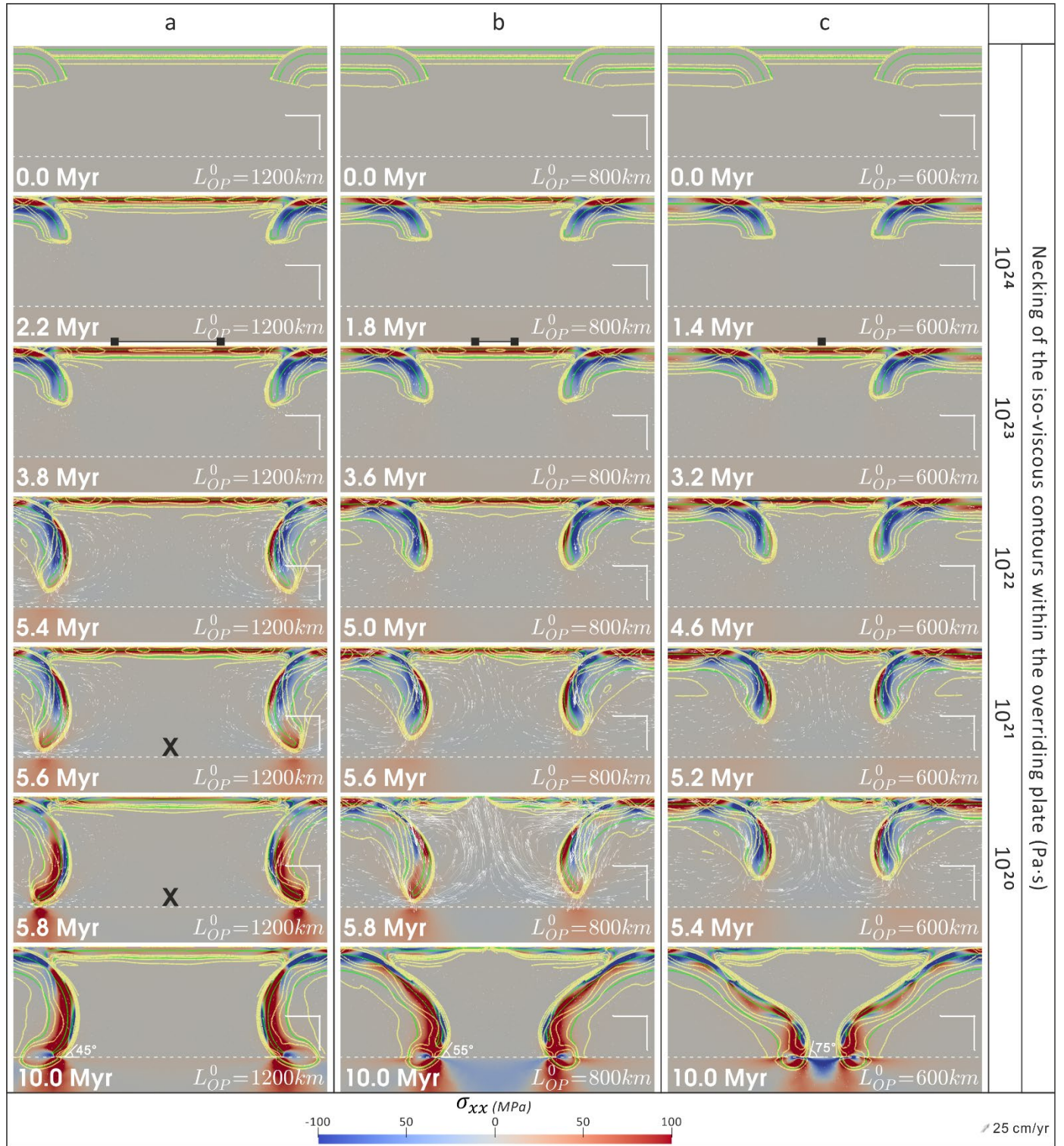


Figure 3. Progressive weakening of the overriding plate during dual inward dipping subduction with decreasing length of the overriding plate, (a) model ' $L_{OP}^0 = 1200 \text{ km}$ ', (b) model ' $L_{OP}^0 = 800 \text{ km}$ ', (c) model ' $L_{OP}^0 = 600 \text{ km}$ '. The location of necked regions in the overriding plate are marked with black squares. A detailed explanation of the contours and symbols could be found in the caption of Figure 2.

To take a closer look at the extension behaviour within the overriding plate, we plot the evolving magnitude of viscosity at 5km depth (Figure 4). The filled region in the figure represents the overriding plate, therefore its widening represents extension within the overriding plate. As the initial

length of the overriding plate (L_{OP}^0) decreases from 1600 km to 500 km, the total extension in the 10 Myr simulations increases from ~100 km (Figure 4, a-c) to 400-600 km (Figure 4, d-g). In detail, it is noted that extensional behaviour only become observable after the iso-viscous contour of $10^{23} Pa \cdot s$ is necked, i.e., after weakening level 'II' is achieved. Extension combining with lithospheric thinning only becomes significant when the iso-viscous contour $10^{21} Pa \cdot s$ is necked, i.e., when weakening level 'IV' is achieved. The highest weakening level achieved within the overriding plate increases from 'II' ($L_{OP}^0 = 1600 km$) to 'III' ($L_{OP}^0 = 1000 km$) and on to 'IV' ($L_{OP}^0 \leq 800 km$). During the spreading extension period, a highly centralised spreading centre (Figure 4, d,f-g) is observed in the middle of the overriding plate (Figure 4, d, f-g), while multiple spreading centres are observed to accommodate the extension in model ' $L_{OP}^0 = 700 km$ ' (Figure 4, e).

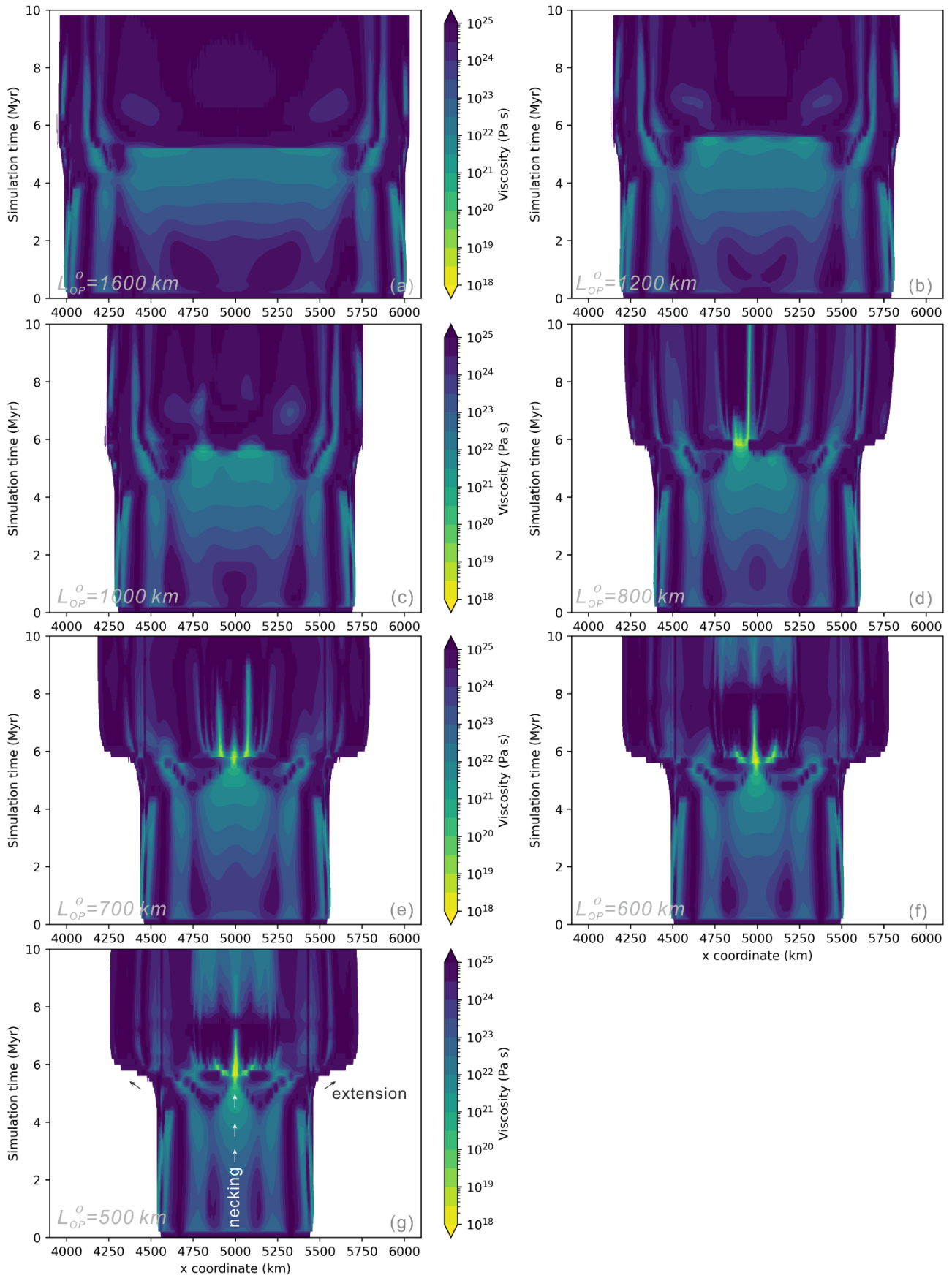


Figure 4. Temporal evolution of viscosity magnitude along the depth of 5 km within the overriding plate for models with different length of the overriding plate. (a) Model ' $L_{OP}^0 = 1600 \text{ km}$ '. (b) Model ' $L_{OP}^0 = 1200 \text{ km}$ '. (c) Model ' $L_{OP}^0 = 1000 \text{ km}$ '. (d) Model ' $L_{OP}^0 = 800 \text{ km}$ '. (e) Model ' $L_{OP}^0 = 700 \text{ km}$ '. (f) Model ' $L_{OP}^0 = 600 \text{ km}$ '. (g) Model ' $L_{OP}^0 = 500 \text{ km}$ '. All models have the same setup of H_{SP}^0 (141 km) and H_{OP}^0 (67 km). The edge of the filled contour in the lateral direction represents the interface between the overriding plate and subducting plate. The white arrows display the necking process of the overriding plate.

314 **3.3 Thickness of the overriding plate**

315 The second series of models increase the initial thermal thickness (defined by the 1300 K contour)
316 of the overriding plate (H_{OP}^0) from 67 km to 100 km (Figure 5), while keeping the subducting plate's
317 thickness (H_{SP}^0) and the length of the overriding plate (L_{OP}^0) constant (Table 2). As H_{OP}^0 increases,
318 the maximum weakening level developed within the overriding plate drops from 'IV' ($H_{OP}^0 = 67\text{ km}$)
319 to 'III' ($H_{OP}^0 = 74\text{ km}$) and less than 'I' ($H_{OP}^0 = 100\text{ km}$). The time it takes to lower each order of
320 viscosity magnitude increases, indicating a slower progressive weakening.

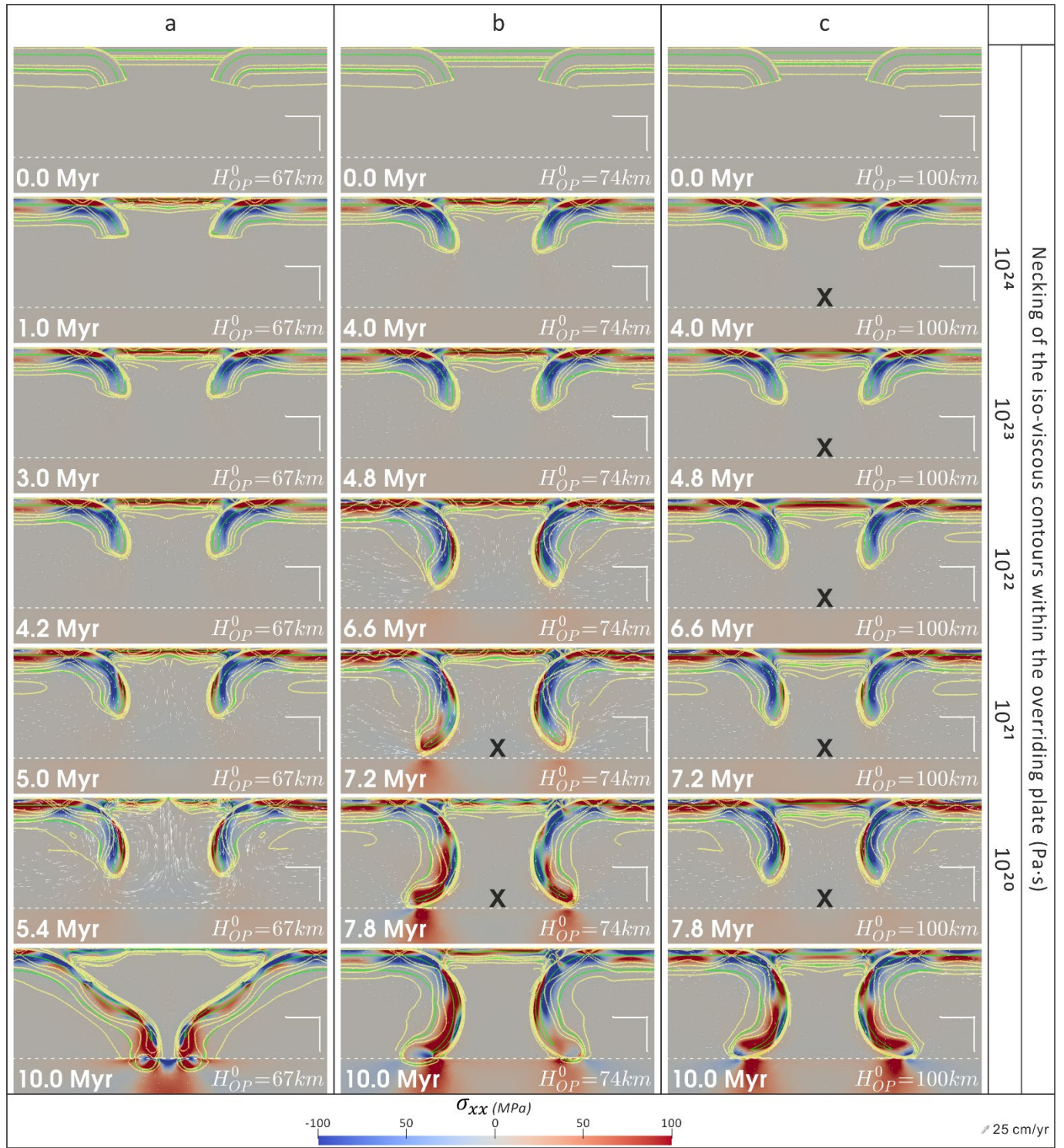


Figure 5. Progressive weakening, illustrated by visualising the stress σ_{xx} , of the overriding plate during dual inward dipping subduction with increasing thickness of the overriding plate, (a) model ' $H_{OP}^0 = 67 \text{ km}$ ', (b) model ' $H_{OP}^0 = 74 \text{ km}$ ' and (c) model ' $H_{OP}^0 = 100 \text{ km}$ '. A detailed explanation of the contours and symbols could be found in the caption of Figure 2.

Besides, the total extension decreases from $\sim 600 \text{ km}$ ($H_{OP}^0 = 67 \text{ km}$, Figure 6, a) to $\sim 350 \text{ km}$ ($H_{OP}^0 = 70 \text{ km}$, Figure 6, b) and ultimately to $\sim 0 \text{ km}$ ($H_{OP}^0 = 100 \text{ km}$, Figure 6, c-e). The maximum viscosity reduction in both the primary and secondary necking regions decreases as H_{OP}^0 increases, while

the lateral distance away from the trench of necking regions are equal, showing no correlation with H_{OP}^0 .

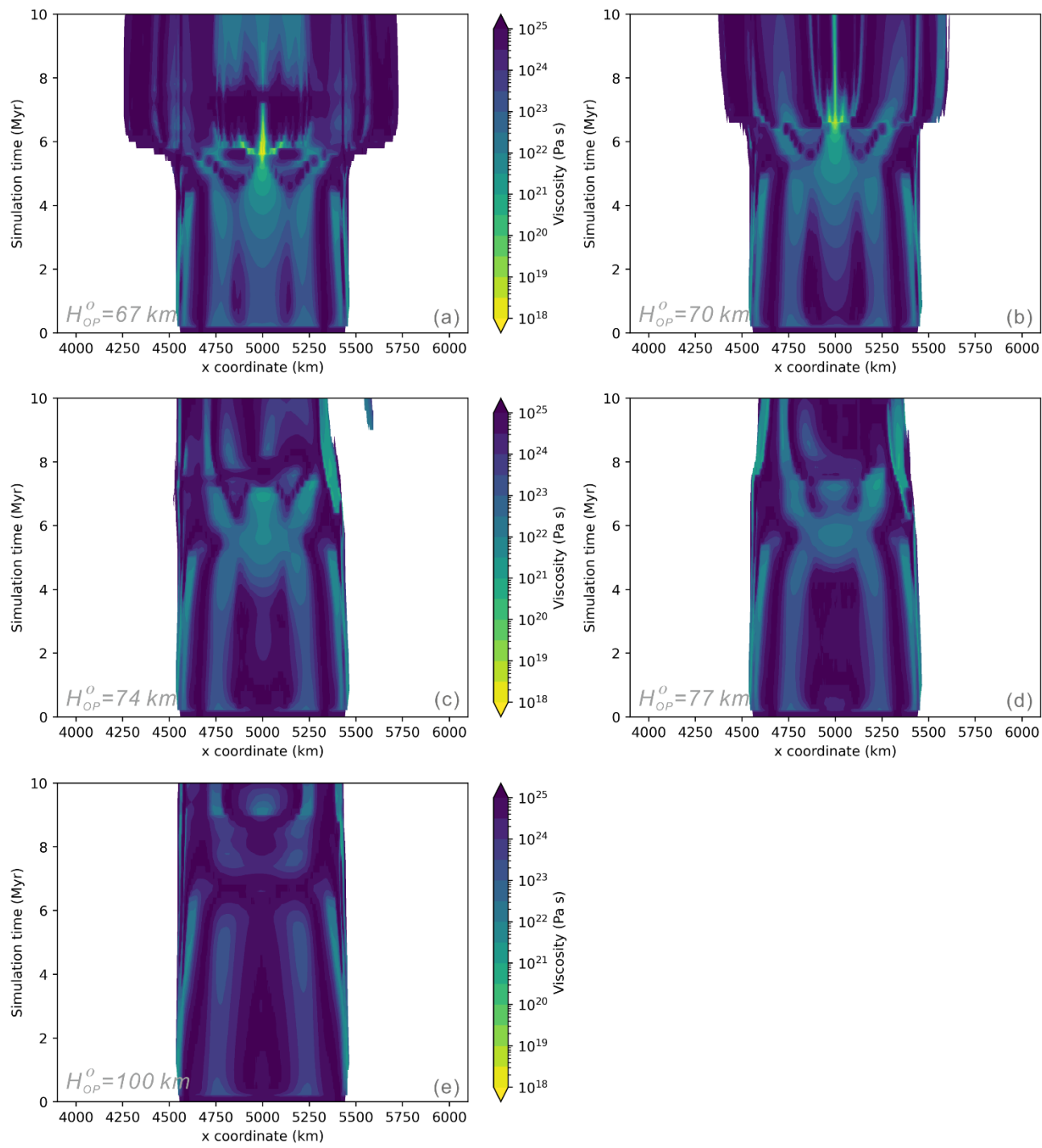


Figure 6. Temporal evolution of viscosity along a horizontal line at the depth of 5km in the overriding plate. (a) Model ' $H_{OP}^0 = 67\text{ km}$ '. (b) Model ' $H_{OP}^0 = 70\text{ km}$ '. (c) Model ' $H_{OP}^0 = 74\text{ km}$ '. (d) Model ' $H_{OP}^0 = 77\text{ km}$ '. (e) Model ' $H_{OP}^0 = 100\text{ km}$ '. All models have the same H_{SP}^0 (141 km) and L_{OP}^0 (500 km). The edge of the contour filling in the lateral direction represents the interface between the overriding plate and subducting plate.

335 To investigate the details of the progressive weakening in the necking region, 5 diagnostics are
 336 evaluated along the vertical slice in the middle of the overriding plate (5000 km away from both
 337 side boundaries). This is where the necking belt develops in models with L_{OP}^0 of 500 km. The
 338 diagnostics are integrated along the vertical slice and then divided by the thickness of the plate
 339 (Equation (13)),

$$\bar{D} = \frac{1}{H_{OP}} \int_0^{H_{OP}} D \, dy, \quad (13)$$

340 in which D represent the diagnostic. The averaged results include magnitude of viscosity ($\bar{\mu}$),
 341 second invariant of strain rate ($\bar{\dot{\epsilon}_{II}}$), lithosphere thickness ($\bar{d_{OP}}$), horizontal stretching stress
 342 component ($\bar{\sigma_{xx}}$) and vertical velocity component ($\bar{v_y}$).

343 Take the model ' $H_{OP}^0 = 67 \text{ km}$ ' for example (blue line, Figure 7), there is gradual increase of $\bar{\dot{\epsilon}_{II}}$ and
 344 $\bar{\sigma_{xx}}$, and gradual decrease of $\bar{\mu}$ during the simulation between 1 Myr to 4 Myr. While $\bar{d_{OP}}$ and $\bar{v_y}$
 345 remains nearly constant. From 4 Myr to 5 Myr, $\bar{\dot{\epsilon}_{II}}$ and $\bar{\mu}$ keep a similar slope trend as before.
 346 While $\bar{\sigma_{xx}}$ stops increasing and starts to decrease gently. $\bar{v_y}$ starts to increase and $\bar{d_{OP}}$ starts to
 347 decrease. During the rifting and spreading extension between 5 Myr to 6 Myr, all diagnostics are
 348 varying more rapidly, with $\bar{\mu}$, $\bar{d_{OP}}$ and $\bar{\sigma_{xx}}$ dropping and $\bar{\dot{\epsilon}_{II}}$, $\bar{v_y}$ climbing steeply. The jump in $\bar{v_y}$
 349 couples with passive upwelling mantle flow as rifting extension initiates. Afterwards, the weakening
 350 process is replaced by a strengthening process where $\bar{\mu}$ and $\bar{d_{OP}}$ both increase while $\bar{\dot{\epsilon}_{II}}$ and $\bar{v_y}$
 351 decrease gradually.

352 As the thickness of the overriding plate increases from 67 km to 100 km, the magnitude of viscosity
 353 drop in the necking area decreases. In detail, the plotting (Figure 7, a, grey dashed line) shows that

354 if $\bar{\mu}$ in the necking area of the overriding plate is above $\sim 2 \times 10^{22} \text{ Pa} \cdot \text{s}$, there is no lithospheric
355 thinning in the necking region (Figure 7, c, purple and red lines). In the timesteps when $\bar{\mu}$ is in the
356 range of $10^{21} - 2 \times 10^{22} \text{ Pa} \cdot \text{s}$, thinning starts to build up, but it is not weak enough to have rifting
357 extension (Figure 7, c, green line). Only when the $\bar{\mu}$ drops below $10^{21} \text{ Pa} \cdot \text{s}$ does significant
358 thinning develop within the overriding plate (Figure 7, c, blue and orange lines). The results of $\bar{\mu}$
359 confirms that the iso-viscous contour $10^{21} \text{ Pa} \cdot \text{s}$ can be used to predict if rifting or spreading
360 extension develops during the dual inward dipping subduction. It also reveals a more precise
361 maximum viscosity below which the thinning of lithosphere develops.

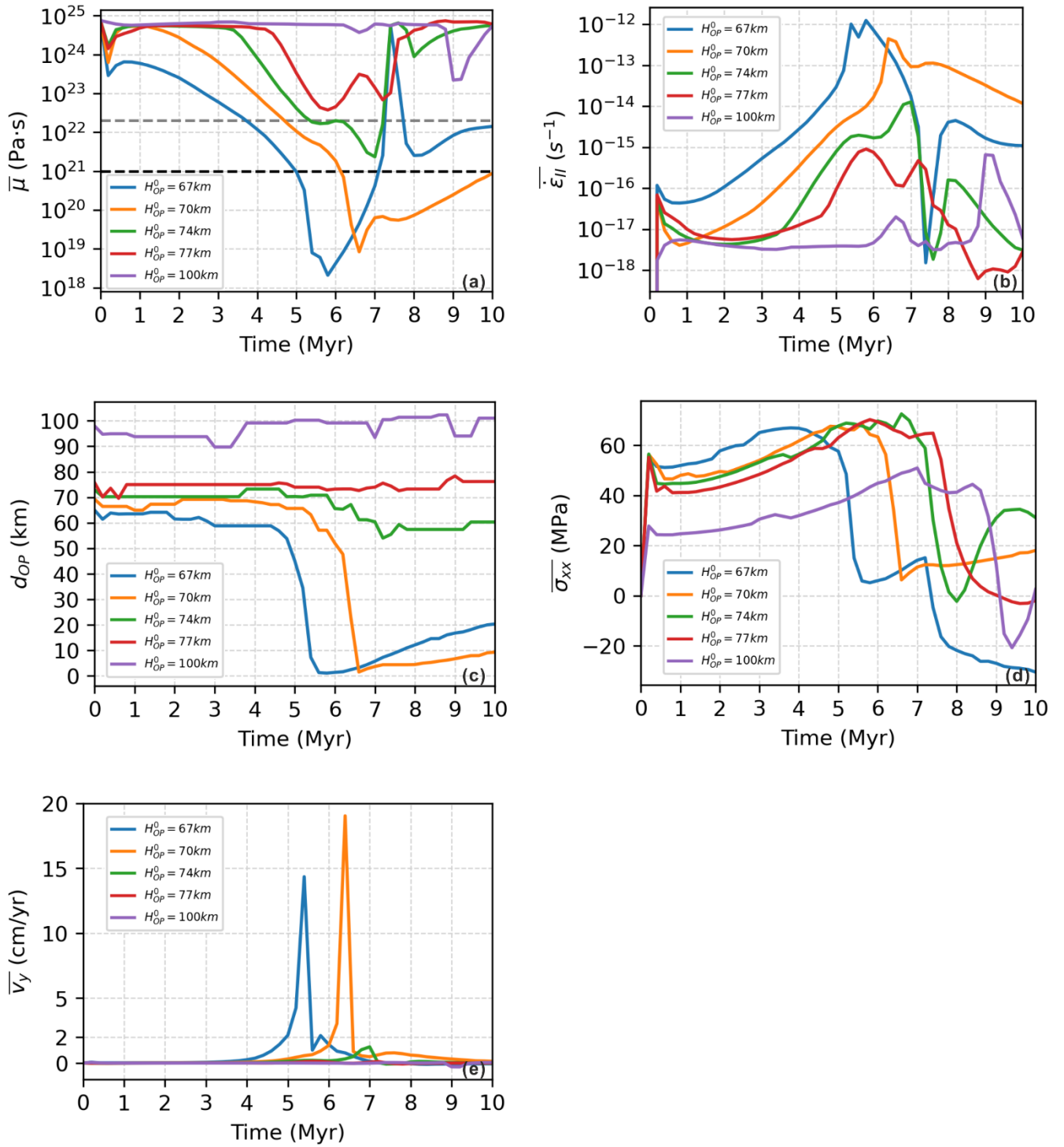


Figure 7. Temporal evolution of averaged diagnostics along the vertical slice in the middle of the overriding plate, (a) viscosity ($\bar{\mu}$), (b) second invariant of strain rate ($\bar{\dot{\epsilon}}_{II}$), (c) lithosphere thickness (\bar{d}_{OP}), (d) horizontal stretching stress component ($\bar{\sigma}_{xx}$) and (e) vertical velocity component (\bar{v}_y). Positive value of \bar{v}_y represent upward motion.

3.4 Thickness of the subducting plate

The third series of models investigate increasing the initial thermal thickness (again as defined by

368 the 1300 K contour) of the subducting plate (H_{SP}^0) from 94 km to 141 km (Figure 8), while keeping
369 the overriding plate's thickness (H_{OP}^0) and the length of the overriding plate (L_{OP}^0) constant. As H_{SP}^0
370 increases, the maximum weakening level developed within the overriding plate increases from 'I'
371 ($H_{SP}^0 = 94 \text{ km}$) to 'III' ($H_{SP}^0 = 122 \text{ km}$) and 'IV' ($H_{SP}^0 = 141 \text{ km}$). The time it takes to lower each order
372 of viscosity magnitude decreases, indicating a faster progressive weakening.

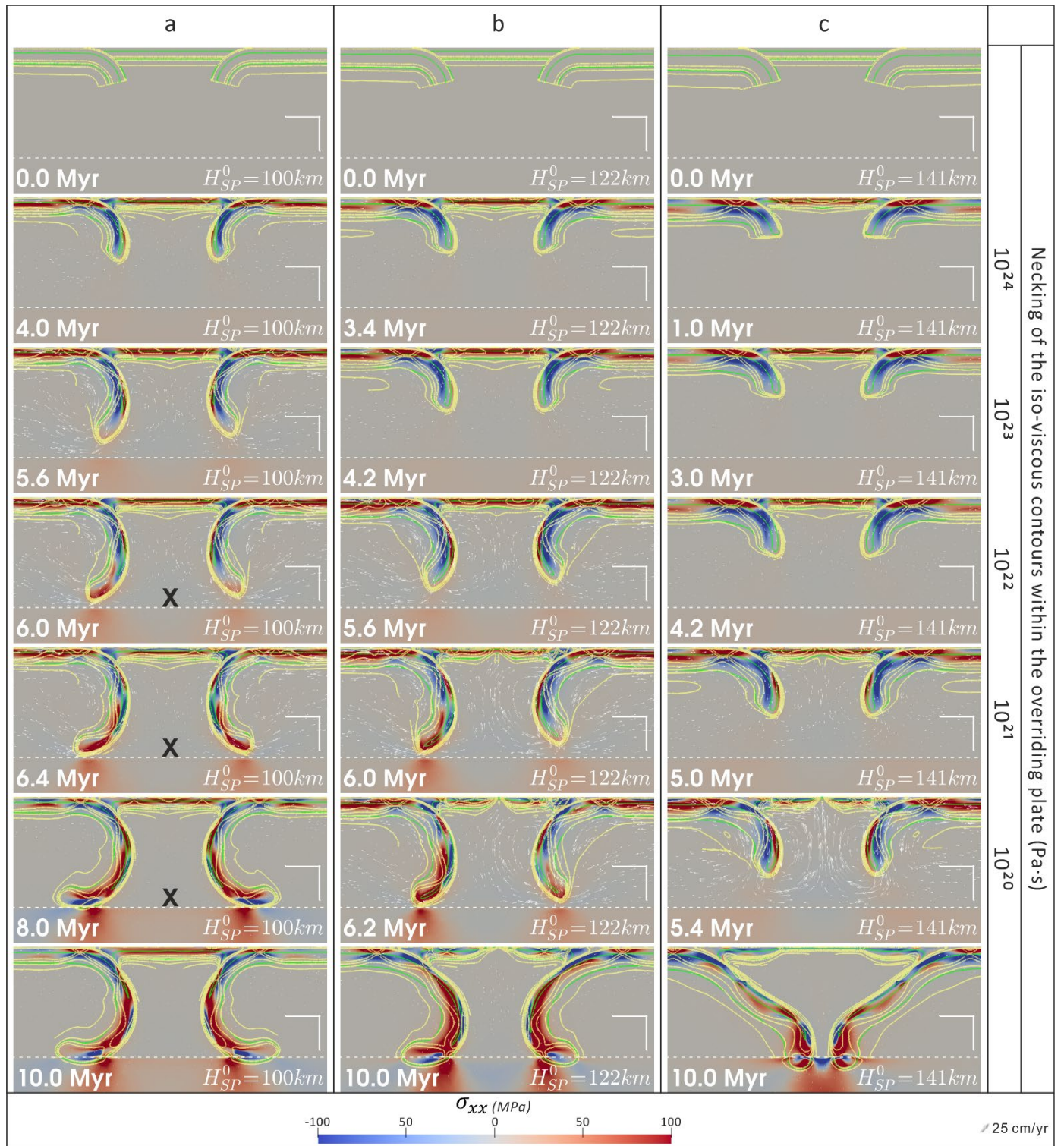


Figure 8. Progressive weakening of the overriding plate during dual inward dipping subduction with increasing age of the subducting plate. (a) Model ' $H_{SP}^0 = 100 \text{ km}$ '. (b) Model ' $H_{SP}^0 = 122 \text{ km}$ '. (c) Model ' $H_{SP}^0 = 141 \text{ km}$ '. A detailed explanation of the contours and symbols could be found in the caption of Figure 2.

Besides, the total extension increases from $\sim 0 \text{ km}$ ($H_{SP}^0 \leq 111 \text{ km}$, Figure 9, a-c) to $\sim 200 \text{ km}$ ($H_{SP}^0 = 122 \text{ km}$, Figure 9, d) and ultimately to $\sim 600 \text{ km}$ ($H_{SP}^0 = 141 \text{ km}$, Figure 9, e). The maximum viscosity reduction in both the primary and secondary necking regions increases as H_{SP}^0 increases, while

the lateral distance away from the trench of necking regions are equal, showing little correlation with H_{SP}^0 .

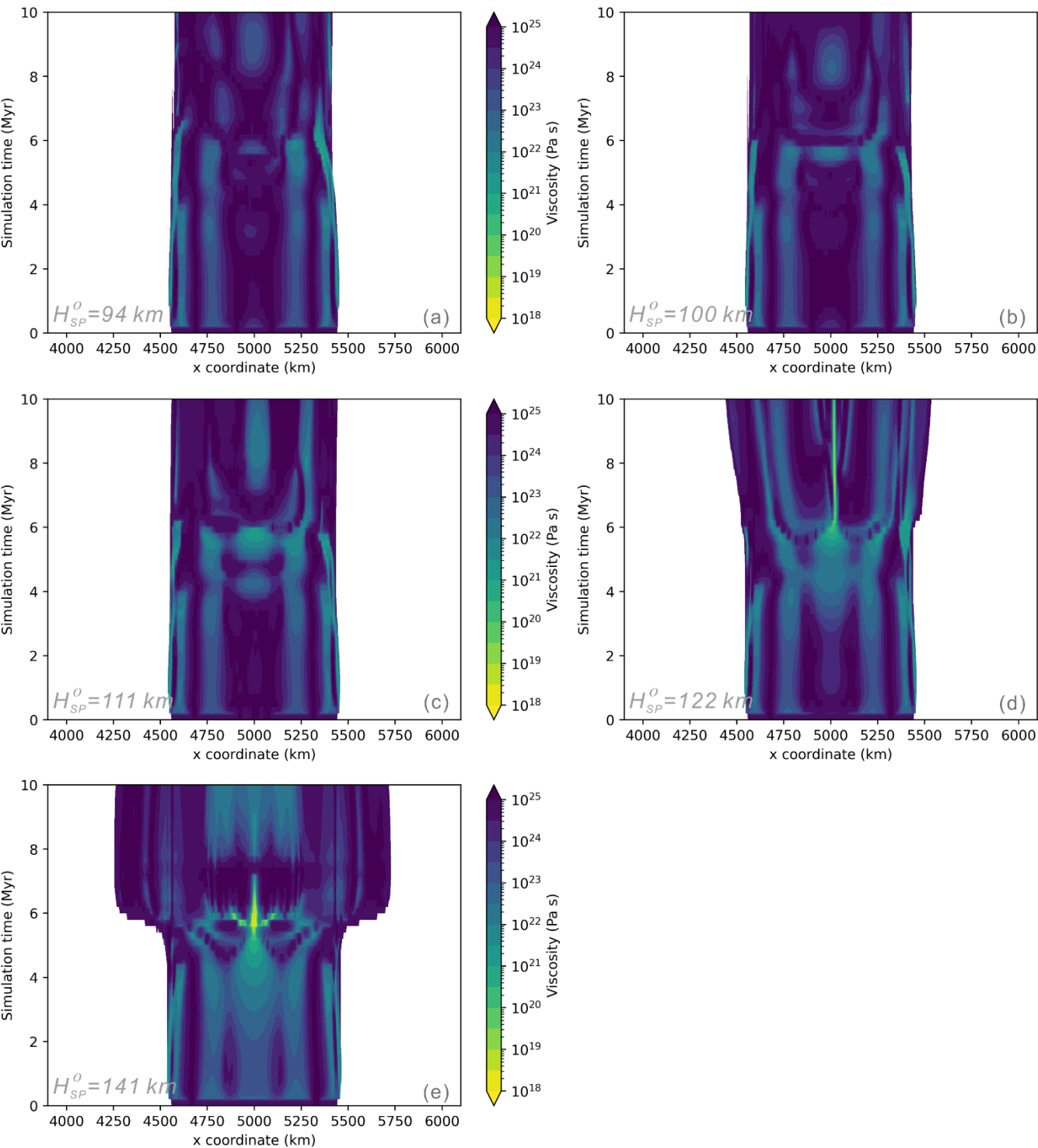


Figure 9. Temporal evolution of viscosity along a horizontal line at the depth of 5km in the overriding plate. (a) Model ' $H_{SP}^0 = 94\text{ km}$ '. (b) Model ' $H_{SP}^0 = 100\text{ km}$ '. (c) Model ' $H_{SP}^0 = 111\text{ km}$ '. (d) Model ' $H_{SP}^0 = 122\text{ km}$ '. (e) Model ' $H_{SP}^0 = 141\text{ km}$ '. Both models have the same H_{OP}^0 (67 km) and L_{OP}^0 (500 km). The edge of the contour filling in the lateral direction represents the interface between the overriding plate and subducting plate.

387 **3.5 Regime of stretching state**

388 A variety of deformation patterns and stretching state within the overriding plate have been
389 observed when varying L_{OP}^0 , H_{OP}^0 and H_{SP}^0 . Several diagnostics are reported together to quantify
390 the deformation developed within the overriding plate during the 10 Myr simulation (Table 3). The
391 detail of each diagnostic is described as follows.

392 Table 3. Summary of diagnostics for all models. For further description of the diagnostics please see the main text.

| Model name | weakeni ng level | t_{rift} (Myr) | t_{660} (Myr) | l_{n2n} (km) | total strain |
|------------------------------|---------------------|----------------------------|--------------------|-------------------|------------------|
| $H_{SP}^0 = 94 \text{ km}$ | I | - | 6.6 | 0 | 1% |
| $H_{SP}^0 = 100 \text{ km}$ | III | - | 6.4 | 0 | 2% |
| $H_{SP}^0 = 111 \text{ km}$ | III | - | 6.4 | 0 | 21% |
| $H_{SP}^0 = 122 \text{ km}$ | IV | 6.2 | 6.4 | 0 | 110% |
| $H_{SP}^0 = 141 \text{ km}$ | IV | 5.4 | 6.0 | 0 | 2800% |
| $H_{OP}^0 = 67 \text{ km}$ | IV | 5.4 | 6.0 | 0 | 2800% |
| $H_{OP}^0 = 70 \text{ km}$ | IV | 6.4 | 6.6 | 0 | 1300% |
| $H_{OP}^0 = 74 \text{ km}$ | III | - | 7.2 | 0 | 30% |
| $H_{OP}^0 = 77 \text{ km}$ | II | - | 7.6 | 0 | 4% |
| $H_{OP}^0 = 100 \text{ km}$ | I | - | 8.8 | 0 | 1% |
| $L_{OP}^0 = 500 \text{ km}$ | IV | 5.4 | 6.0 | 0 | 2800% |
| $L_{OP}^0 = 600 \text{ km}$ | IV | 5.4 | 6.0 | 0 | 690% |
| $L_{OP}^0 = 700 \text{ km}$ | IV | 5.6 | 6.0 | 130 | 630% |
| $L_{OP}^0 = 800 \text{ km}$ | IV | 5.8 | 6.0 | 231 | 14% ^a |
| $L_{OP}^0 = 1000 \text{ km}$ | III | - | 6.0 | 282 | 15% |
| $L_{OP}^0 = 1200 \text{ km}$ | III | - | 5.8 | 621 | 11% |
| $L_{OP}^0 = 1600 \text{ km}$ | II | - | 5.4 | 937 | 4% |

393 ^a The total strain listed here is calculated along the middle vertical slice (5000 km away from side boundaries). For models $L_{OP}^0 \geq$
394 800 km, the necking zones are away from this middle vertical slice. So, the total strain could be underestimated for these models.
395 Considering that only model ' $L_{OP}^0 = 800 \text{ km}$ ' achieved weakening level 'IV', the corrected total strain along its necking zone is ~600%.
396 While the underestimation for other models is moderate and will not change the conclusion of this research.

397 As introduced in section 3.1.1, weakening levels 'I', 'II', 'III', 'IV' are determined by the minimum
398 viscosity contour which is necked in the overriding plate during subduction. The higher the
399 weakening level, the stronger the localised rheology modification observed within the overriding
400 plate. All three groups of dual inward dipping subduction models manage to yield a variety of

401 weakening levels in the overriding plate (Figure 10, a-c).

402 t_{rift} indicates the timestep when the overriding plate develops rifting extension (weakening level
403 'IV'), and a void value means that model fails to generate rifting extension within the overriding
404 plate. It shows that only models achieving weakening level 'IV' develop rifting extension. t_{rift}
405 increases with thicker or longer overriding plate, and decreases with thicker subducting plate.

406 t_{660} equals how much time the subducting plate (defined by its 1300 K isotherm) takes to sink to
407 the depth of 660km. It is most sensitive to the variation of H_{OP}^0 , while varying L_{OP}^0 and H_{SP}^0
408 generates less than ~1 Myr difference of t_{660} compared with a ~3 Myr difference when modifying
409 H_{OP}^0 .

410 l_{n2n} is the horizontal distance between necking centres which may develop rifting extension during
411 dual inward dipping subduction, i.e., secondary necking regions are excluded. The value of l_{n2n} is
412 0 km if there is only one necking centre within the overriding plate. l_{n2n} starts to increase with L_{OP}^0
413 when L_{OP}^0 is greater than ~700 km. l_{n2n} in Table 3 is recorded at the timestep of 4.4 Myr, which
414 was selected to be before significant transition that occurs when the slab interacts with the lower
415 mantle. It should be noted that, l_{n2n} may vary with time and the difference is at most ~250 km
416 (Figure 4).

417 Total strain is calculated by integrating the average strain rate ($\overline{\dot{\epsilon}_{II}}$ based on Equation (13)) with
418 time throughout the 10 Myr simulation. All three groups of models generate a variety of total strain
419 at the end of the simulation (Figure 10, d-f). For all models that develop rifting extension, the total
420 strain is greater than 100%. Total strain in the range of 5% to 100% is observed from limited thinning

up to significant extension. For models where the total strain is less than 5%, the weakening
 deformation is hardly observable in the overriding plate.

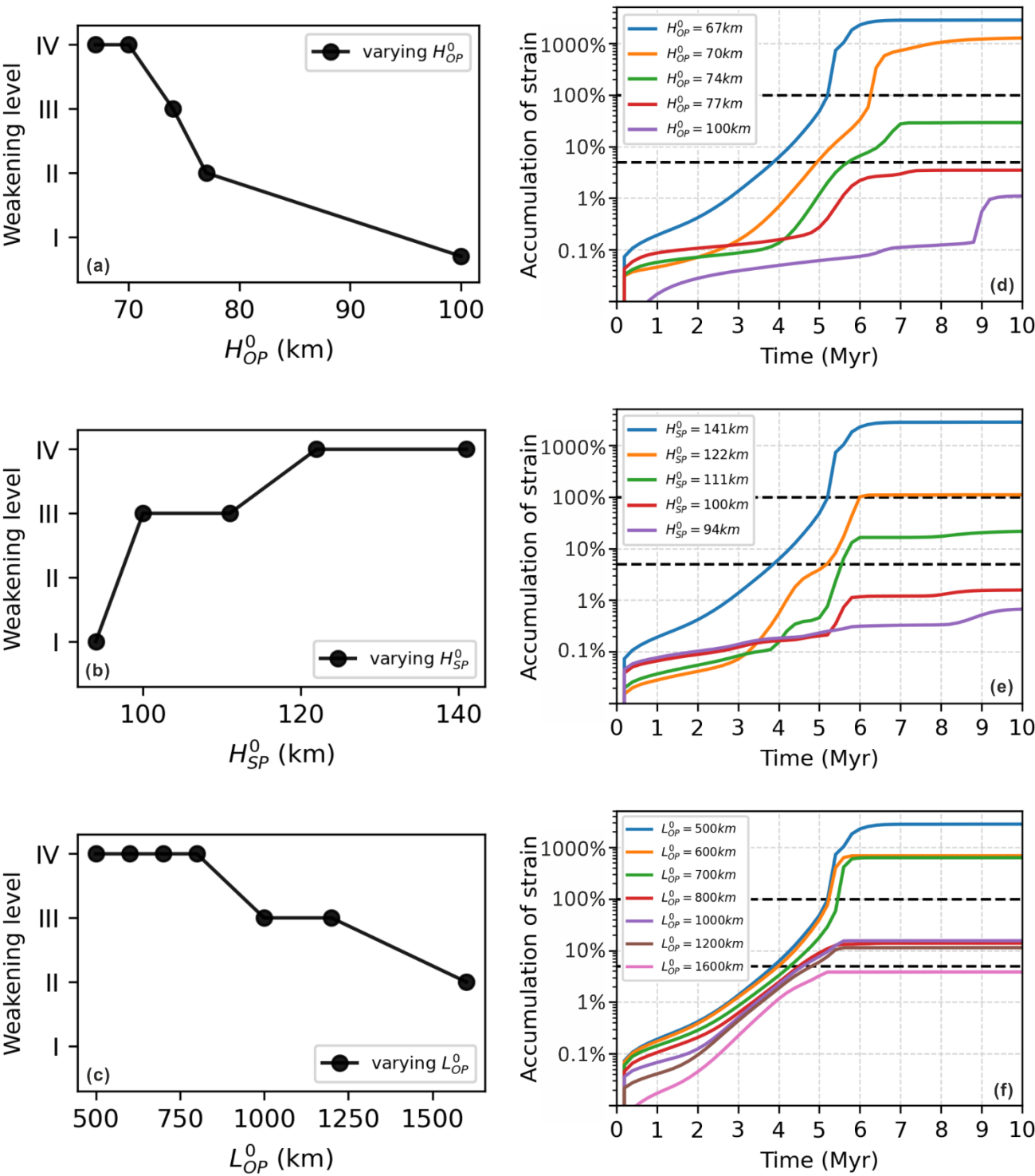


Figure 10. Key diagnostics used to characterise the rheology modification within the overriding plate. (a-c) Weakening level developed within the overriding plate. (d-f) Accumulation of strain in the middle of the overriding plate (5000 km away from the side boundaries).

By combining all the qualitative and quantitative diagnostics presented in these results, we classify three stretching states: 1) little or no lithosphere thinning and extension, discriminated by low weakening level up to level 'II', little total strain up to 5% and almost no thermal lithosphere thinning in the necking area; 2) limited lithosphere thinning and extension, identified by medium weakening level up to level 'III', medium total strain up to 30%, and limited thermal lithosphere thinning, e.g., ~15 km thinning for model ' $H_{OP}^0 = 74 \text{ km}$ ' (Figure 7, c); 3) rifting and spreading extension, characterised by high weakening level up to level 'IV', high total strain over 100%, and total thinning of the thermal lithosphere during rifting extension.

4. Discussion

The results show that dual inward dipping subduction can induce progressive weakening within a uniform overriding plate. With appropriate conditions, tested in this research, e.g., thick enough H_{SP}^0 , thin enough H_{OP}^0 , short enough L_{OP}^0 , different levels of stretching state, ranging from no thinning nor extension to rifting and spreading extension, can develop within the homogeneous overriding plate. The role that dual inward dipping subduction plays during the progressive weakening and the origin of the softening process are worth discussion.

4.1 The role dual inward dipping subduction plays

4.1.1 Creating fixed trailing boundary condition for the overriding plate

Due to the symmetric model setup, subducting plates on both sides are prone to (at least initially) advance or retreat simultaneously. This creates roughly equal, symmetric and competing force from

both ends of the overriding plate during subduction. As a result, the mobility of the overriding plate is inhibited, as indicated by the low velocity (<1 cm/yr, white contour) region within the overriding plate (Figure 11, a-c). It would be as if the mechanical boundary condition on the overriding plate was fixed. As the overriding plate keeps weakening during dual inward dipping subduction, divergent velocity difference can build up within the overriding plate, indicating initiation of extension (Figure 11, b-c).

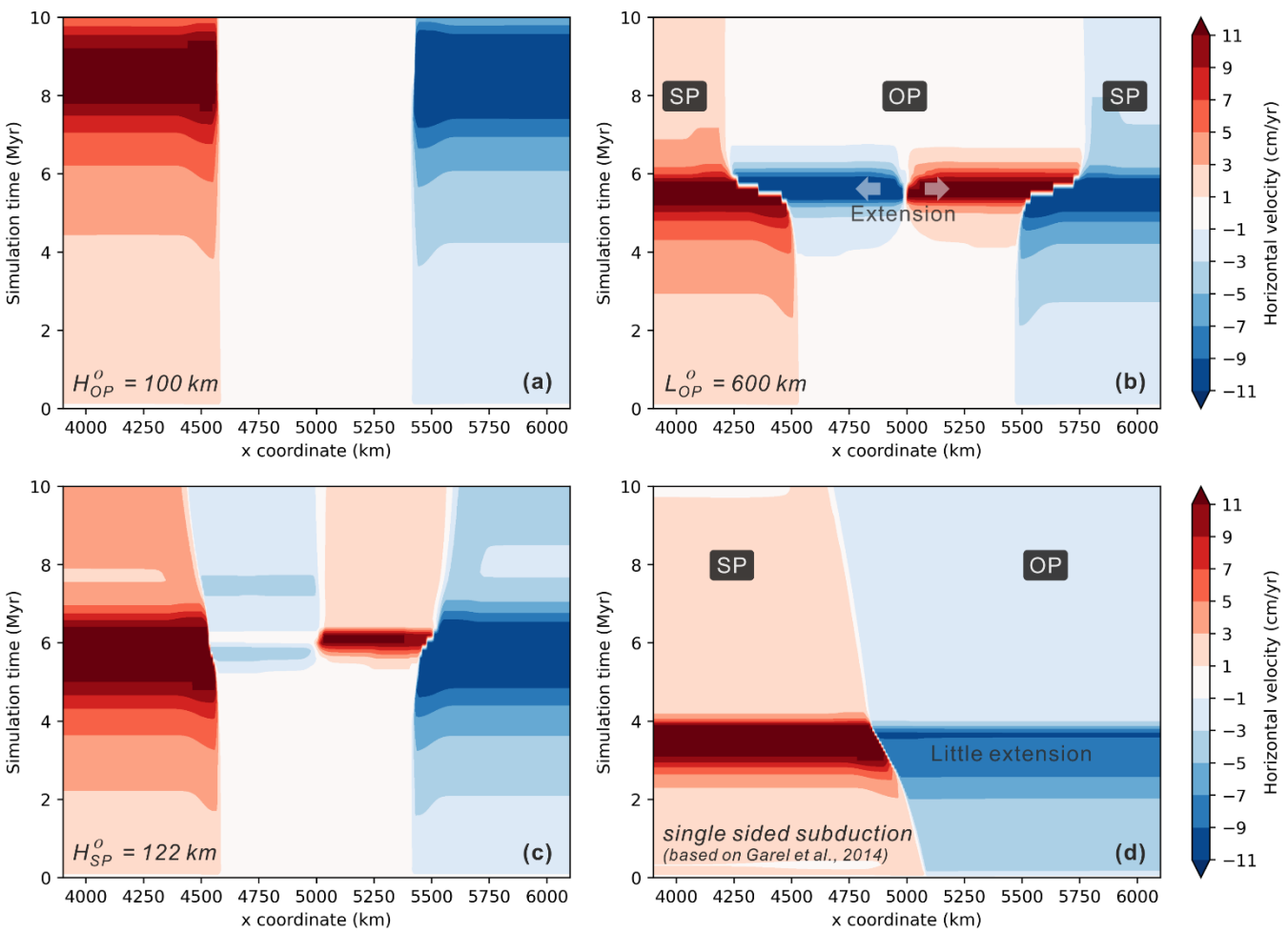


Figure 11. Temporal evolution of horizontal velocity component along a lateral slice, x coordinate from 3900km to 6100 km, at the depth of 20 km from the surface. (a) model ' $H_{OP}^0 = 100$ km', (b) model ' $L_{OP}^0 = 600$ km', (c) model ' $H_{SP}^0 = 122$ km', (d) single sided subduction with a mobile overriding plate referring to (b) model ' $L_{OP}^0 = 600$ km'. The contour filling represents the variation of horizontal component of velocity vector throughout the 10 Myr simulation. Positive value means right-ward motion and negative value is left-ward motion. The white area represents that the plate is nearly stagnant. And the edge of the white area marks the interface between the subducting plate and overriding plate or rifting and spreading centre within the overriding plate. SP and OP are short for subducting plate and overriding plate separately.

Previous studies on single-sided subduction cases have implied that the mobility of the overriding plate plays an important role in producing extension, especially in the back-arc region of the overriding plate. A mobile overriding plate can move as a whole to inhibit the build-up of deviatoric stress within the plate (Capitanio et al., 2010; Chen et al., 2016; Garel et al., 2014; Holt et al., 2015; Nakakuki and Mura, 2013), while the immobile overriding plate can facilitate strain localisation which accounts for the increased degree of deformation in the overriding plate compared with mobile plates (Capitanio et al., 2010; Chen et al., 2016; Erdős et al., 2021; Nakakuki and Mura, 2013; Yang et al., 2019).

To investigate the role of fixed trailing boundary condition in promoting extension, we consider a single sided subduction (SSS) model with a free and mobile overriding plate, based on previous SSS research (Garel et al., 2014). The SSS model has the same parameters as the dual inward dipping subduction model ' $L_{OP}^0 = 600 \text{ km}$ ' in every aspect, e.g., rheology, initial subduction plate thickness (141 km) and initial overriding plate thickness (67 km) at the trench, except that there is only one subducting plate and the overriding plate holds a mobile side boundary. The results show that much less extension, evidenced by the magnitude of divergent velocity difference, is observed in the overriding plate of SSS model (Figure 11, d) relative to that in the model ' $L_{OP}^0 = 600 \text{ km}$ ' (Figure 11, b). Thus, the lack of mobility of the overriding plate plays a key role in promoting the degree of weakening during dual inward dipping subduction.

4.1.2 Stronger poloidal return flow

Our results show that varying the size of the overriding plate can affect the degree of extension within the overriding plate. Previous research shows that subduction can induce poloidal mantle

481 return flow, which has been suggested to account for extensional deformation within the overriding
482 plate, e.g., back-arc extension, supercontinent breakup (Chen et al., 2016; Dal Zilio et al., 2018;
483 Erdős et al., 2021; Gerardi and Ribe, 2018; Sleep and Teksöz, 1971). Previous research also
484 implies that, a) increasing the thickness of the subducting plate (H_{SP}^0) can increase the net negative
485 buoyancy thus leading to a stronger poloidal flow (Garel et al., 2014); b) lowering the thickness of
486 the overriding plate (H_{OP}^0) can not only increase the net negative buoyancy by increasing the
487 hanging slab area in the upper mantle, but also reduces the dissipation during subduction along
488 the interface between two plates (Erdős et al., 2021). These two mechanisms also come into play
489 for dual inward dipping subduction models.

490 In addition, dual inward dipping subduction models can yield a third way to strengthen the return
491 flow. This is by combining the two separate poloidal convection flows, one from each subducting
492 plate, as the length of the overriding plate (L_{OP}^0) shortens (Figure 3). This is shown for example by
493 the velocity variation in both the horizontal (v_x) and vertical (v_y) direction at the depth of 75 km
494 (Figure 12). For all dual inward dipping subduction models, the magnitude of v_x decreases
495 gradually from ~2.5 cm/yr in the mantle wedge corner to 0 cm/yr underlying the middle part (~5000
496 km away from side boundaries) of the overriding plate (Figure 12, a). As L_{OP}^0 changes, models with
497 shorter overriding plate have greater v_x gradient along the lateral slice (Figure 12, b). The
498 maximum magnitude of v_y increases from ~0.25 cm/yr to ~1.3 cm/yr, implying a faster upwelling
499 flow, as the length of the overriding plate (L_{OP}^0) shortens (Figure 12, c-d). It is also noted that the
500 necking area developed within the overriding plate (e.g., Figure 3) lies right above the maximum
501 upwelling component of the return flow (Figure 12, c), suggesting a spatial correlation between the
502 stronger poloidal return flow and the progressive weakening in the overriding plate.

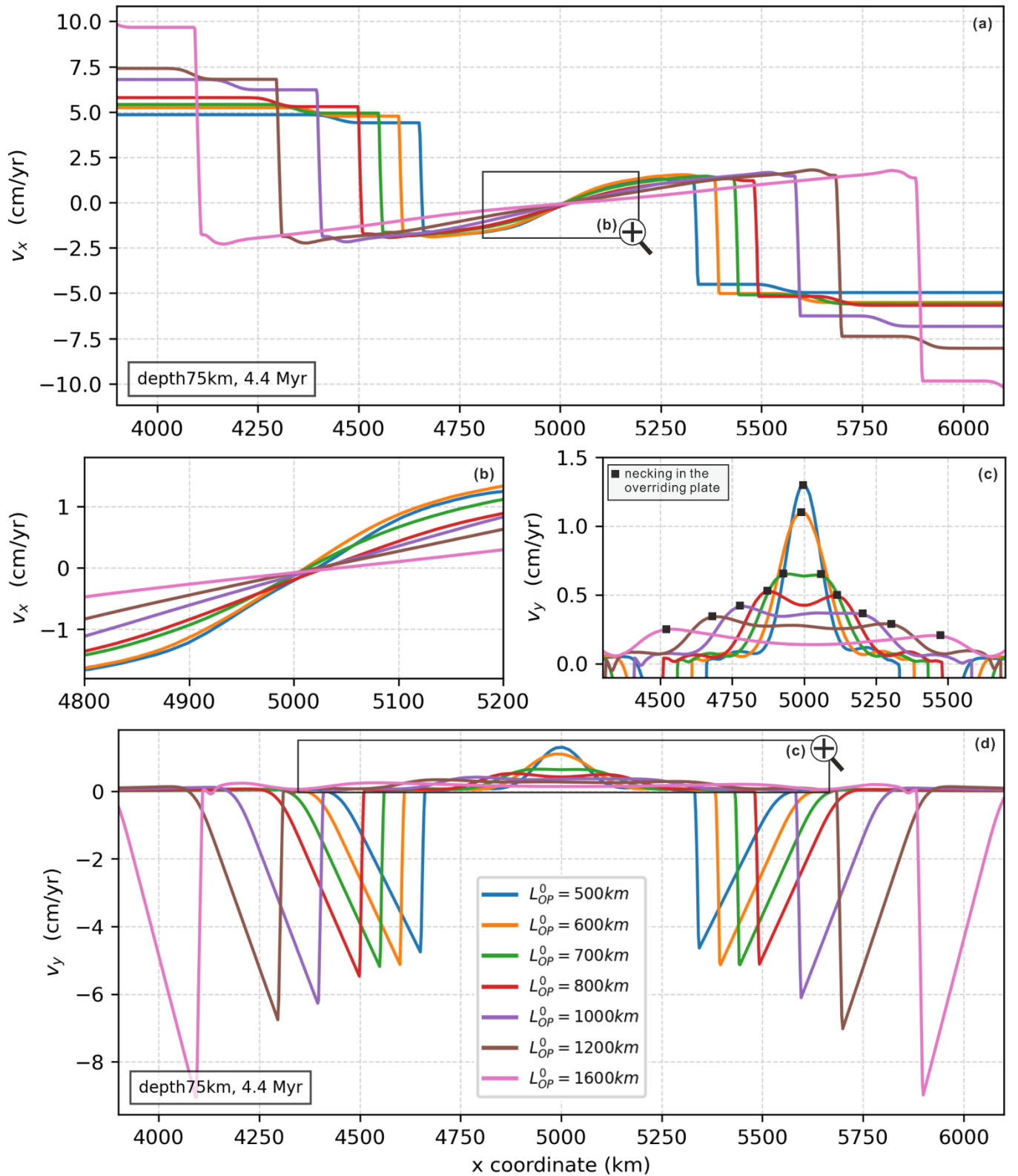


Figure 12. Velocity variation along a slice at the depth of 75 km, which is ~ 8 km below the 1300 K isotherm of the overriding plate, after 4.4 Myr simulation. (a, d) Horizontal and vertical component of velocity along the slice. (b) and (c) are zoom-in of (a) and (d) under the middle part of the overriding plate (5000 ± 200 km and 5000 ± 650 km away from the side boundaries respectively). Positive value means rightward or upward motion, and negative value represents leftward or downward motion. In (c), the horizontal coordinate of the necked region in the overriding plate is plotted as black square to visualize its spatial correlation with upwelling component of mantle wedge flow. All plots share the same legend listed in (d).

510 Previous research on subduction shows that the return flow can apply basal traction, which can
511 propagate upwards and create high enough extensional stress that overcome the strength of the
512 overriding plate (e.g., Capitanio et al., 2010; Holt et al., 2015). A united, thus stronger return flow is
513 likely to strengthen the mantle flow's ability to weaken the overriding plate, as indicated in Figure 3
514 and Figure 12. Meanwhile, the extension during progressive weakening can also drive passive
515 upwelling mantle flow, which can reinforce the spatial correlation between the necking region and
516 the maximum magnitude of v_y (Figure 12, c).

517 **4.2 Overriding plate weakening mechanism**

518 As introduced in the methods, we applied composite rheology which incorporates four deformation
519 mechanisms everywhere in the simulation domain. Here, the dominant deformation mechanism
520 (DDM) is defined as the rheology law that yields the minimum magnitude of viscosity at a certain
521 point. We try to understand the temporal and spatial evolution of the DDM within the overriding
522 plate, especially in the region where strain localisation takes place. Then we evaluate the
523 contribution of each deformation mechanism in promoting strain localisation within the overriding
524 plate.

525 **4.2.1 Dominant deformation mechanism analysis**

526 The reference model ' $L_{OP}^0 = 1200\ km$ ' with limited extension has shown that the DDM is stratified
527 with yielding, Peierls creep and dislocation creep as the depth increases within the overriding plate
528 (Figure 2, b). Here, we further investigate how the DDM evolves in models that develop rifting and
529 spreading extension within the overriding plate, e.g., model ' $H_{OP}^0 = 70\ km$ '. Therein, the temporal

530 phases show that the DDM is also spatially layered (Figure 13), with yielding initially dominating
531 from the surface to the depth of ~35 km, underlain by Peierls creep dominating for the next ~10 km
532 and then dislocation creep dominating for ~25 km (Figure 13, b-d). Among all the DDM at different
533 depths throughout the simulation, yielding is always the thickest and dislocation creep comes as
534 the second. To be noted, the DDM of diffusion creep with limited area is observed around the bottom
535 of the overriding plate during the initial plate weakening (Figure 13, b), and it is completely replaced
536 by dislocation creep after 3.6 Myr. During the thinning process of the overriding plate, the
537 deformation mechanism of Peierls creep gives way to yielding and dislocation creep as DDM
538 (Figure 13, d-g). The replacement and interplay among different DDM will be discussed in the next
539 subsection.

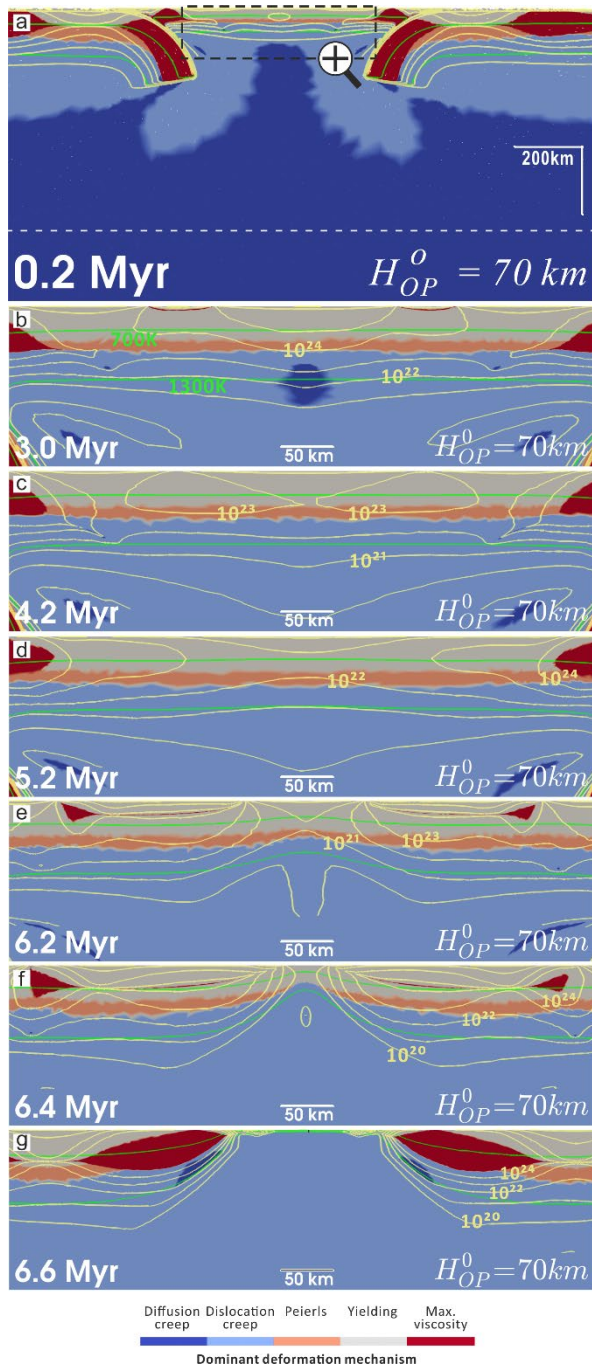


Figure 13. Temporal evolution of the dominant deformation mechanism within the overriding plate in model $H_{OP}^0 = 70 \text{ km}$. The dashed zoom-in block in (a) shows the location of screenshots in (b-g). The progressive weakening process within the overriding plate is demonstrated by the necking of the iso-viscous contours. The 5 groups of yellow contours encompassing the plates in each screenshot are iso-viscous contours of $10^{20}, 10^{21}, 10^{22}, 10^{23}, 10^{24} \text{ Pa} \cdot \text{s}$ from outward to inward. The two sets of green solid lines are 700 K and 1300 K isotherm contours to image the geometry of the thermal plate. The bottom left corner caption shows the elapsed simulation time and bottom right corner is the name of the model.

While we do not implement a multi-material approach to define the rheology of different layers in the lithosphere, the uniform compositional rheology law self-consistently generates the layered structure in Figure 13. In detail, yielding only dominates over other creep mechanisms in the cold

regions, corresponding to the crustal depth range. While dislocation and diffusion creep dominate over yielding in the hot bottom region, equivalent to the depth range of mantle lithosphere. The continuous necking process shows that the viscosity reduction initiates from the surface (yielding) and the bottom of the plate (dislocation creep). Then the viscosity contour necks in the middle depth of the plate as seen in Figure 13, (b-e). This suggests that yielding and dislocation creep play the dominant role in promoting the continuous weakening of the overriding plate.

4.2.2 Weakening contribution analysis

The previous section has shown that the DDM may vary at different depth range within the overriding plate. To evaluate the contribution of each DDM to inducing rifting and spreading extension for each timestep, we slice the overriding plate vertically through its middle where the most intensive necking takes place. Then we group the points along the midline by the type of DDM. Two kinds of calculation are conducted. 1) For the points with the same DDM, we calculate at each timestep the arithmetic average of the strain rate and temperature state which can be used to compute the viscosity through Equation (8). 2) We compute the minimum viscosity among all points along the midline, and define the DDM that yields the minimum viscosity as the Minimum Viscosity Dominant Deformation Mechanism (MVDDM). To be clear, the DDM is calculated at each point independent of other points, while the MVDDM is calculated using all relevant points along the midline.

One diagnostic to evaluate the contribution of deformation mechanisms to plate weakening is to quantify how much (order of) viscosity reduction each DDM achieves. For model ' $H_{OP}^0 = 70 \text{ km}$ ', both yielding and dislocation creep reduces the viscosity to lower than $10^{21} \text{ Pa} \cdot \text{s}$ (Figure 14, a),

which is the critical magnitude to initiate rifting and spreading extension (Figure 7, a). While Peierls creep can reduce viscosity to the range of 10^{21} - $10^{22} \text{ Pa} \cdot \text{s}$, which can enable limited thinning but it fails to induce rifting extension. Diffusion creep induces the least viscosity reduction to $\sim 5 \times 10^{22} \text{ Pa} \cdot \text{s}$, which suggests that it only softens the plate for further deformation through limited viscosity reduction. For models that do not develop rifting or spreading extension, the temporal paths of the DDM are similar with model ' $H_{op}^0 = 70 \text{ km}$ ' except that the minimum viscosity is never less than $10^{21} \text{ Pa} \cdot \text{s}$. Another diagnostic to evaluate the contribution of deformation mechanisms to plate weakening is how long it stays active. We note that yielding and dislocation creep are two types of DDM that are active throughout the simulation (Figure 14, a), while diffusion creep and Peierls creep disappears as DDM along the midline after 3.6 Myr and 6.4 Myr separately (Figure 13, b, f).

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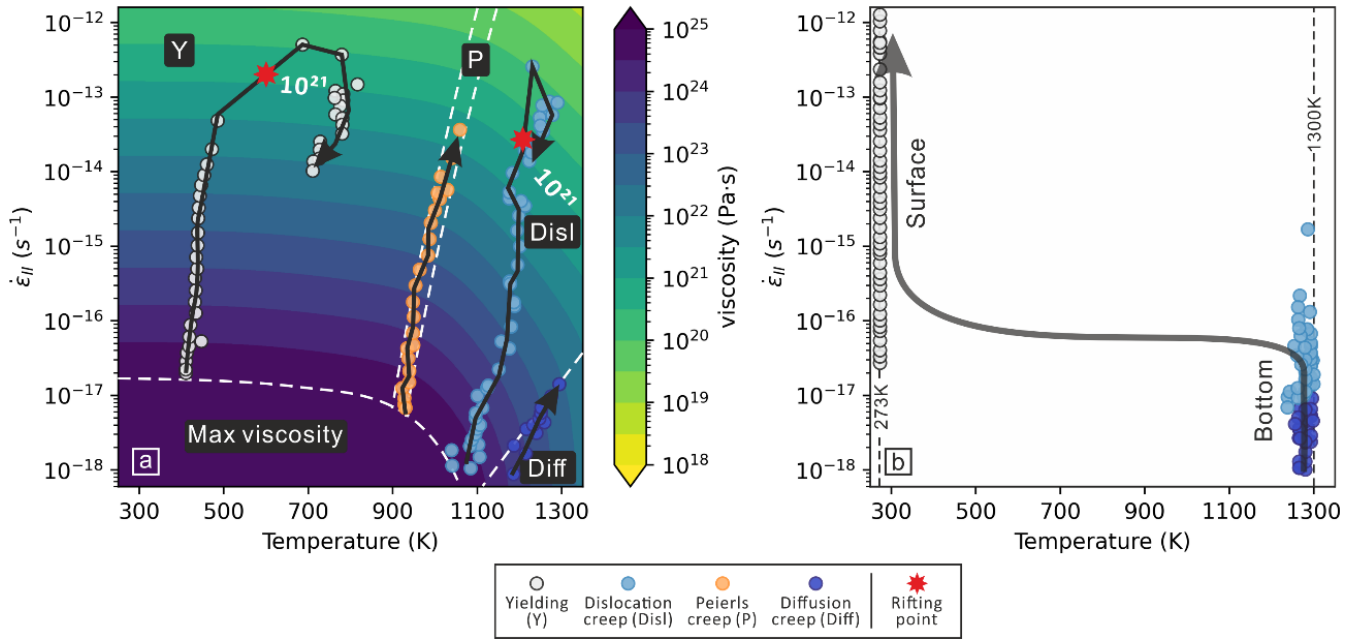


Figure 14. Scatter plots of the dominant deformation mechanism along the midline of the overriding plate (5000 km away from both side boundaries), i.e., the main necking region. (a) The temporal path of each dominant deformation mechanism (DDM) is plotted on a phase diagram, where the magnitude of viscosity is calculated based on Equation (7). The phase diagram is divided by the white dashed lines into four domains based on the calculation of which component deformation mechanism yields the minimum viscosity at the given strain rate, temperature and depth. The fifth domain marks the maximum viscosity. To be noted, the depth used to create the viscosity contour is 50 km, which may not reflect the complete temporal path but it helps to demonstrate how viscosity will evolve. The scatter points are taken from the model ' $H_{OP}^0 = 70$ km', and each point is calculated by averaging the strain rate and temperature state at each timestep for the portion of the midline that holds the same dominant deformation mechanism. (b) The evolution of the dominant deformation mechanism that yields the minimum viscosity (MVDDM) throughout the midline of the overriding plate. Scatter points are taken from 5 models with varying thickness of the overriding plate (H_{OP}^0).

To be noted, we observe an accelerating viscosity reduction in the range of 10^{20} - 10^{22} Pa·s for the DDM of yielding and dislocation creep (Figure 14, a). That is when plate thinning, rifting and spreading extension take place. The accelerating viscosity reduction suggests that the overriding plate falls into positive feedback weakening loops as strain localises in the necking region. Such self-strengthening weakening feedback loop when necking develops into a rifting centre is also reported in previous research using power-law viscous creeping flow law with an exponent > 1 (Wenker and Beaumont, 2018). As in the case of uniaxial stretching, the plate strength is proportional to $\bar{\mu} \times H_{OP}$ (Ribe, 2001), both of which in our models are reducing during the plate thinning process. Since the plate strength measures the very resistance to the underlying mantle

603 flow, the reduction of viscosity and plate thickness will incur further plate weakening. The
604 continuous plate strength reduction during dual inward dipping subduction may end up with the
605 formation of new plate boundaries.

606 The location of the weakest point (with the least viscosity) along the midline migrates from the
607 bottom of the overriding plate to the surface as dual inward dipping subduction proceeds (Figure
608 14, b). Correspondingly, the MVDDM changed from diffusion creep and dislocation creep at the
609 bottom of the plate to yielding at the surface. Such a transition is observed no matter whether only
610 rifting or full spreading extension develops within the overriding plate. The result indicates that the
611 transition is enabled as long as the strain rate can keep increasing during subduction (Figure 14,
612 b). Though, only a high enough strain rate ($\sim 10^{-13} \text{ s}^{-1}$) can lower the viscosity ($\sim 10^{21} \text{ Pa} \cdot \text{s}$)
613 sufficiently through yielding and dislocation creep to induce rifting and spreading extension (Figure
614 14, a).

615 While the rheology law (Equation (8-9)) of the four deformation mechanisms shows that the
616 magnitude of viscosity is dependent on evolving temperature, strain rate, and lithostatic pressure,
617 the diagram (Figure 14, a) indicates that the viscosity reduction is mainly driven by the ever-
618 increasing strain rate relative to the much gentler impact of increasing thermal gradient and
619 decreasing lithostatic pressure due to plate thinning. The dominant role of strain rate-induced
620 weakening over thermal weakening is also reported in the interaction between upwelling plumes
621 and overlying lithosphere (Burov and Guillou-Frottier, 2005). That is to say, the rheology and
622 buoyancy parameters will be more important than the heat conduction parameters in producing
623 different levels of rheology weakening within the overlying plate. The continuously growing strain

rate can also explain the replacement of diffusion creep by dislocation creep as the DDM at the bottom of the overriding plate. While the replacement of Peierls creep by yielding or dislocation creep as DDM during the plate thinning process is likely due to both increasing strain rate and temperature at the intermediate depth. In addition, the strain rate induced weakening is also a precondition to initiate thermal weakening, lithosphere thinning, strain localisation and formation of new plate boundaries (eg. Fuchs and Becker, 2021, 2019; Gueydan et al., 2014).

4.3 Limitations

The major contribution of this work is incorporating a composite rheology which depends on multiple parameters, e.g., temperature, strain rate etc., for the dual inward dipping subduction models, and investigating the driving mechanism of the progressive weakening in the overriding plate. However, previous research indicates that viscosity can also be affected by hydrous fluids, partial melting, and grain size of minerals in subduction zones (Bercovici et al., 2015; Braun et al., 1999; England and Katz, 2010; Montési and Hirth, 2003). In particular, grain size reduction is likely to take place when strain builds up and it may make diffusion creep become the dominant deformation mechanism, overtaking dislocation creep, in the mantle lithosphere (Gueydan et al., 2014; Ruh et al., 2022). Taking all these parameters into consideration is likely to strengthen the feedback weakening process within the overriding plate during dual inward dipping subduction, while at the cost of making the computation much more expensive (Foley, 2018).

Subduction can generate convective mantle flow that includes both poloidal and toroidal components. The 2D models tested here neglect the effects of toroidal flow and the third dimension. This could amplify the magnitude of poloidal flow and its weakening effect applied within the

645 overriding plate. Considering that poloidal component dominates over toroidal component when
646 slab subducts through the upper mantle (Funiciello et al., 2004), and it is the poloidal cell that
647 provides the relevant traction driving the deformation within the overriding plate (Király et al., 2017;
648 Schellart and Moresi, 2013), the lack of toroidal flow would only have limited impact on the
649 progressive weakening presented.

650 Bearing all the limits in mind, we cautiously compare our model predictions with observations in the
651 Caribbean Sea plate, which has experienced dual inward dipping subduction since at least ~60 Ma
652 (Boschman et al., 2014; Braszus et al., 2021), with the Farallon plate (subsequently, Cocos and
653 Nazca plates) subducting at the Central America Trench in the west and Proto-Caribbean plate
654 (followed by Atlantic plate) subducting at the Lesser Antilles Trench in the east. One interesting
655 observation from plate reconstruction is that the distance between the two trenches seems to have
656 increased since the establishment of the dual inward dipping subduction (Barrera-Lopez et al., 2022;
657 Boschman et al., 2014; Braszus et al., 2021; Romito and Mann, 2021), suggesting that the
658 Caribbean plate has undergone extension. The extension includes the formation of multiple basins
659 throughout the Caribbean Sea plate, e.g., Tobago Basin, Grenada Basin, Venezuela Basin and
660 Colombia Basin since ~60 Ma (Allen et al., 2019; Braszus et al., 2021; Romito and Mann, 2021).
661 The effect of the fixed boundary condition may play a role in promoting the extension, which may
662 originate from the development of the Caribbean Large Igneous Province (Pindell et al., 2006), and
663 multiple periods of back-arc extension as the Lesser Antilles Trench continuously retreats (Steel
664 and Davison, 2021). We note that there is uncertainty in plate reconstructions and limited evidence
665 on the timing of extension. Therefore, we must consider this comparison as somewhat speculative.
666 Further the two-dimensional nature of the models might not be a good representation of the

dynamics on the eastern side of the Caribbean plate with its narrow subduction zone.

4.4 Synoptic summary

The thermo-mechanical modelling here provides a generic understanding of the progressive weakening developed within a varying viscosity overriding plate during dual inward dipping subduction. To summarise, dual inward dipping subduction holds a stronger tendency to weaken the overriding plate compared with single sided subduction. This is achieved by creating a fixed trailing boundary condition for the overriding plate and generating a stronger poloidal return flow underlying the overriding plate (Figure 15). The stronger poloidal mantle flow is exhibited as a higher horizontal velocity gradient and higher maximum magnitude of upwelling component underlying the overriding plate. It can also initiate a higher degree of viscosity reduction, strain localisation and lithosphere thinning or even spreading extension within the overriding plate. Besides, a dual inward dipping subduction system with thinner and shorter overriding plate, and thicker subducting plate is likely to induce a higher degree of viscosity reduction within the overriding plate (Figure 15, b-d).

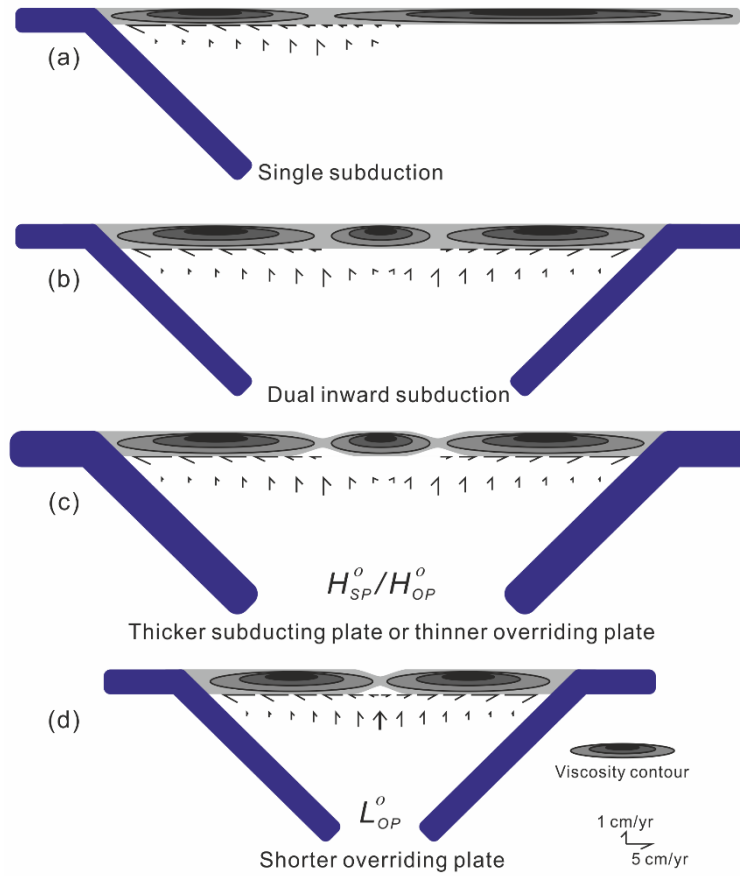


Figure 15. Synoptic comparison of different model setup's role in affecting the necking behaviour developed within the overriding plate. (a) Single sided subduction (Garel et al., 2014). (b) Dual inward dipping subduction. (c) Thickness of the subducting plate or overriding plate (H_{SP}^0, H_{OP}^0). (d) Length of the overriding plate (L_{OP}^0).

5. Conclusion

These 2-D thermo-mechanical numerical models demonstrate that dual inward dipping subduction can generate progressive weakening by lowering viscosity within the overriding plate on a ~ 10 Myr time scale. Three variables are investigated to understand what controls the maximum degree of weakening. It shows that the initial length (L_{OP}^0) and thickness (H_{OP}^0) of the overriding plate are negatively correlated with the maximum degree of weakening. While the initial thickness of the subducting plate (H_{SP}^0) positively relates to the maximum weakening level. The progressive weakening can result in a variety of irreversible stretching states ranging from 1) little or no lithosphere thinning and extension, to 2) limited thermal lithosphere thinning, and 3) localised rifting

694 followed by spreading extension.

695 Comparing with single-sided subduction, dual inward dipping subduction can reduce the magnitude
696 of viscosity to a lower level within the overriding plate. Two aspects are analysed. On the one hand,
697 a dual inward dipping subduction set-up effectively creates a dynamic fixed boundary condition for
698 the middle (overriding) plate. This inhibits the mobility of the plate and helps promote localised
699 strain to accommodate the slab rollback tendency on both sides. On the other hand, when the initial
700 length of the overriding plate is short enough ($L_{OP}^0 \leq 800 \text{ km}$), dual inward dipping subduction can
701 form a united upwelling mantle flow which interacts with the bottom of overriding plate and
702 generates a stronger viscosity perturbation within it than single sided subduction models. As a result,
703 dual inward dipping subduction can induce higher degrees of extension in the overriding plate
704 compared with single sided subduction.

705 During the progressive weakening, yielding and dislocation creep are the dominant deformation
706 mechanisms that initiates rifting and spreading extension. The progressive weakening is mainly
707 driven by the ever-increasing strain rate, which is also a precondition for initiating thermal
708 weakening, strain localisation, lithosphere thinning and formation of new plate boundaries.

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